



PARADIGM

Plasma Analysis for Response Assessment and to Direct the management of Metastatic prostate cancer

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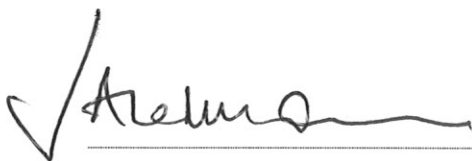
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Please note: This study protocol must not be applied to patients treated outside the PARADIGM study. Cancer Research UK & UCL Cancer Trials Centre (UCL CTC) can only ensure that approved trial investigators are provided with amendments to the protocol.

Patrick Magill and Joseph Hanlon are the patient representatives on the Trial Management Group (TMG) and have contributed to the development of this study and have reviewed the patient information documentation.

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TABLE OF CONTENTS

1	PROTOCOL SUMMARY	7
1.1	SUMMARY OF STUDY DESIGN	7
1.2	GRAPHICAL SUMMARY	12
1.3	FUNDING	12
2	INTRODUCTION	13
2.1	MANAGEMENT OF DE NOVO METASTATIC PROSTATE CANCER.....	13
2.2	PLASMA DNA ANALYSIS.....	14
2.3	NOMENCLATURE AND CATEGORISATION RELATED TO DISEASE METASTATIC STATUS	16
2.4	JUSTIFICATION FOR CONDUCTING PARADIGM	17
2.5	IMMUNE PROFILING IN METASTATIC PROSTATE CANCER	17
2.6	CIRCULATING TUMOUR CELLS IN METASTATIC PROSTATE CANCER.....	18
2.7	WHOLE BODY DIFFUSION-WEIGHTED MRI FOR HORMONE SENSITIVE METASTATIC PROSTATE CANCER.....	19
2.8	FUTURE IMPLICATIONS OF PARADIGM	20
3	STUDY DESIGN	21
3.1	STUDY OBJECTIVES.....	21
3.1.1	Primary Objective:	21
3.1.2	Secondary Objectives:	21
3.1.3	Exploratory objective	21
3.1.4	Translational objectives	21
3.2	STUDY ENDPOINTS	22
3.2.1	Primary end point	22
3.2.2	Secondary End Point	22
3.3	STUDY ACTIVATION	22
4	SELECTION OF SITES/SITE INVESTIGATORS.....	24
4.1	SITE SELECTION	24
4.2	SELECTION OF PRINCIPAL INVESTIGATOR AND OTHER INVESTIGATORS AT SITES	24
4.3	TRAINING REQUIREMENTS FOR SITE STAFF	24
4.4	SITE INITIATION AND ACTIVATION	24
4.4.1	Site initiation	24
4.4.2	Required documentation	24
4.4.3	Site activation letter	25
5	SELECTION OF PATIENTS	26
5.1	SCREENING LOG	26
5.2	PATIENT ELIGIBILITY	26
5.2.1	Inclusion criteria	26
5.2.2	Exclusion criteria	26
6	INFORMED CONSENT	28
6.1	CONSENT TO PRE-STUDY (ADT SAMPLE)	28
6.2	CONSENT TO MAIN STUDY	29

PARADIGM protocol version 1 14/01/2019

Protocol Template version 8 19/Jun/2018

6.2.1	<i>Consent to patient directed sample collection</i>	29
6.2.2	<i>Consent for feedback of clinically-relevant genetic information</i>	29
6.3	CONSENT TO WHOLE BODY MAGNETIC RESONANCE IMAGING (WBMRI) (AT SELECTED CENTRES ONLY)	29
7	REGISTRATION PROCEDURES	30
7.1	REGISTRATION TO PRE-STUDY (ADT SAMPLE)	30
7.2	REGISTRATION TO MAIN STUDY	30
8	STUDY INTERVENTION	31
8.1	TREATMENT SUMMARY	31
8.2	STANDARD OF CARE ADT	31
8.2.1	<i>Standard of care docetaxel</i>	31
8.2.2	<i>Standard of care abiraterone</i>	31
8.3	PREDNISOLONE SWITCH TO DEXAMETHASONE	31
8.4	RESEARCH BLOOD SAMPLE COLLECTION	31
8.4.1	<i>Patient-directed sample collection</i>	32
8.4.2	<i>Site directed sample collection</i>	32
8.5	ARCHIVAL DIAGNOSTIC BLOCK COLLECTION	32
8.6	RESEARCH BLOOD SAMPLE PROCESSING	32
8.6.1	<i>Collection of ptDNA sample</i>	33
8.6.2	<i>Collection of CTC samples</i>	33
8.6.3	<i>Collection of Immunoprofiling samples</i>	33
9	WITHDRAWAL OF PATIENTS	34
9.1	FUTURE DATA COLLECTION	34
9.2	LOSSES TO FOLLOW-UP	34
9.3	LOSS OF CAPACITY	34
10	ASSESSMENTS & DATA COLLECTION	35
10.1	PRE-REGISTRATION	35
10.2	ASSESSMENTS PRIOR TO STARTING ABIRATERONE/DOCETAXEL	35
10.3	ASSESSMENTS DURING FIRST SIX CYCLES OF TREATMENT	36
10.4	ASSESSMENTS AFTER FIRST SIX CYCLES OF TREATMENT AND FOLLOW-UP	37
10.5	RECORDING DISEASE PROGRESSION	37
10.6	ASSESSMENTS AFTER DISEASE PROGRESSION	38
11	TRANSLATIONAL RESEARCH	39
11.1	PREDICTORS OF RESPONSE TO SYSTEMIC TREATMENT	39
11.2	TRACKING OF PLASMA DNA DYNAMICS	39
11.3	CTC DYNAMICS AT INITIATION OF ADT	40
11.4	INTERROGATION OF PERIPHERAL IMMUNE CHANGES SECONDARY TO INITIATION OF ADT	40
11.5	WHOLE BODY MRI DERIVED IMAGING BIOMARKERS AS A SURROGATE OF RESPONSE (SELECTED SITES ONLY)	41
12	STATISTICS	42
12.1	CALCULATION OF TARGET NUMBER OF PATIENTS	42
12.2	DEFINITION OF CRITERIA REQUIRED FOR A PATIENT TO BE INCLUDED IN THE PRIMARY ENDPOINT ANALYSIS	42
12.3	PRIMARY ENDPOINT: ANALYSIS AND DETAILED DEFINITION	43
12.3.1	<i>Symptomatic or asymptomatic new or unequivocal progression of prior distant metastases confirmed by imaging</i>	43
12.3.2	<i>Symptomatic progression of cancer in the prostate confirmed by imaging</i>	43

12.3.3	<i>Serum PSA progression</i>	43
12.3.4	<i>Prostate cancer specific death</i>	44
12.4	SECONDARY ENDPOINTS: DETAILED DEFINITION AND ANALYSES	44
12.5	EXPLORATORY ENDPOINT.....	45
12.6	STATISTICAL PLAN FOR TRANSLATIONAL RESEARCH	45
12.6.1	<i>Predictors of response to systemic treatment</i>	45
12.6.2	<i>Tracking of plasma DNA dynamics</i>	45
12.6.3	<i>CTC dynamics at initiation of ADT</i>	46
12.6.4	<i>Interrogation of peripheral immune changes secondary to initiation of ADT</i>	46
12.6.5	<i>Novel imaging as a surrogate of response</i>	46
13	DATA MANAGEMENT AND DATA HANDLING GUIDELINES	47
13.1	ENTERING DATA INTO THE ECRF	47
13.2	CORRECTIONS TO ECRF FORMS	47
13.3	MISSING DATA	47
13.4	TIMELINES FOR DATA ENTRY	47
13.5	DATA QUERIES	47
14	SAFETY REPORTING	48
14.1	DEFINITIONS	48
14.1.1	<i>Adverse Reactions (AR)</i>	48
14.1.2	<i>Related & Unexpected SARs</i>	48
14.1.3	<i>Serious Adverse Reactions (SAR)</i>	48
14.2	REPORTING OF SERIOUS ADVERSE REACTIONS (SARs)	48
	RELATED AND UNEXPECTED SERIOUS ADVERSE REACTION	49
15	INCIDENT REPORTING AND SERIOUS BREACHES	50
15.1	INCIDENT REPORTING	50
15.2	SERIOUS BREACHES	50
16	STUDY MONITORING AND OVERSIGHT	51
16.1	CENTRAL MONITORING.....	51
16.2	'FOR CAUSE' ON-SITE MONITORING	51
16.3	OVERSIGHT COMMITTEES.....	51
16.3.1	<i>Trial Management Group (TMG)</i>	51
16.3.2	<i>Translational Research Committee</i>	52
16.3.3	<i>Trial Steering Committee (TSC)</i>	52
16.3.4	<i>Role of UCL CTC</i>	52
17	STUDY CLOSURE.....	53
17.1	END OF TRIAL.....	53
17.2	ARCHIVING OF TRIAL DOCUMENTATION	53
17.3	EARLY DISCONTINUATION OF TRIAL	53
17.4	WITHDRAWAL FROM TRIAL PARTICIPATION BY A SITE.....	53
18	ETHICAL CONSIDERATIONS	54
18.1	ETHICAL APPROVAL.....	54
18.2	SITE APPROVALS.....	54
18.3	PROTOCOL AMENDMENTS.....	54
18.4	PATIENT CONFIDENTIALITY & DATA PROTECTION	54

19	SPONSORSHIP AND INDEMNITY	55
19.1	SPONSOR DETAILS	55
19.2	INDEMNITY	55
20	PUBLICATION POLICY	56
21	REFERENCES	57
	APPENDIX 1: ABBREVIATIONS	61
	APPENDIX 2: SCHEDULE OF ASSESSMENTS	64
	APPENDIX 3: PROTOCOL VERSION HISTORY	65

1 PROTOCOL SUMMARY

1.1 Summary of Study Design

Title:	Plasma Analysis for Response Assessment and to Direct the management of Metastatic prostate cancer
Short Title/acronym:	PARADIGM
Sponsor name & reference:	University College London, UCL/18/0513
Funders & reference:	Prostate Cancer UK is the main funder of the study; MA-TR15-007 Additional funding: Cancer Research UK, C35118/A22744; C65130/A26321, Medical Research Council, MR/P002072/1 Epic Sciences
Clinicaltrials.gov no:	Pending
Design:	A prospective, observational, biomarker-focused, translational platform, cohort study in newly diagnosed polymetastatic prostate cancer patients starting long-term systemic therapy.
Target accrual:	~170 men to have 130 men evaluable for the primary endpoint.
Inclusion criteria:	<ol style="list-style-type: none"> 1. Able and willing to provide written informed consent 2. Prostate adenocarcinoma confirmed on biopsy obtained in previous 6 months 3. Polymetastatic disease defined as two of the following: <ol style="list-style-type: none"> i. Gleason score of ≥ 8, ii. Presence of ≥ 3 lesions on bone scan, iii. Presence of measurable visceral lesion 4. Eastern Cooperative Oncology Group (ECOG) Performance status 0 to 2 5. No medical contra-indications to abiraterone or docetaxel 6. Patients should be either of the following: <ol style="list-style-type: none"> i. Planned to start long-term Luteinizing hormone (LH) suppression, or ii. within 10 weeks of starting long-term LHRH antagonist, or iii. within 12 weeks of starting LHRH agonist or an anti-androgen when the latter is used in combination with or prior to LHRH agonist for flare protection. 7. Patients should be planned for addition of docetaxel (PARADIGM-D) or abiraterone (PARADIGM-A) 5 to 10 weeks after start of LHRHa (or 7 to 12 weeks if LHRH agonist is started without anti-androgen) with a target of 6 cycles or continuation until progression respectively. 8. No concomitant medical conditions likely to reduce life expectancy. 9. Patient agrees to be followed up in the recruiting centre and to having sequential plasma samples collected as per the study protocol.

Exclusion criteria:	<ol style="list-style-type: none"> 1. Medically unsuitable for either abiraterone, prednisolone or docetaxel. 2. Concurrent or planned for (within the first 5 cycles of docetaxel or abiraterone) treatment with any experimental drugs, oestrogen patches, radiotherapy or surgery to the primary tumour. Patients randomised to the standard of care (SOC) arm in open-label clinical trials are eligible. Patients who are still to be randomised to STAMPEDE may be included where the randomisation will be limited to SOC or arm K. Patients can participate in other observational studies. 3. Prior systemic therapy for prostate cancer other than for LHRHa +/- anti-androgen (started within the time limits defined in inclusion criterion 6). 4. Metastatic brain disease or leptomeningeal disease. 5. Any surgery planned prior to Cycle 3 Day 1 (C3 D1) 6. Other current malignancy or malignancy diagnosed or relapsed within the past 5 years (other than non-melanomatous skin cancer, stage 0 melanoma in situ and non-muscle invasive bladder cancer). 7. Patients who consent to the whole-body magnetic resonance imaging (WBMRI) translational sub-study should have no contraindications to MRI as per local guidelines.
Primary objective:	To determine whether the detection of plasma tumour DNA (ptDNA) after two cycles of abiraterone (with prednisone) or docetaxel (with or without prednisone) added after start of ADT is associated with a worse clinical outcome in newly diagnosed metastatic prostate cancer.
Secondary objectives:	<ol style="list-style-type: none"> 1. To compare ptDNA classification at C2D1 and C5D1 with C3D1. 2. To determine whether the detection of ptDNA after four to twelve weeks of starting ADT and prior to starting abiraterone or docetaxel associates with a worse clinical outcome. 3. To determine the association between clinical outcome and prostate specific antigen (PSA) level (<0.2, 0.2-4, >4ng/dl) after four to twelve weeks of starting ADT and prior to starting abiraterone or docetaxel and at C2D1, C3D1, C5D1 (for both abiraterone and docetaxel) and at 7 months after start of ADT. 4. To assess whether ptDNA detection is a better predictor of clinical outcome than PSA level (as assessed in objective 3) after four to twelve weeks of starting ADT and prior to starting abiraterone or docetaxel and at C2D1, C3D1 and C5D1. 5. To compare associations with clinical outcome for the change in ptDNA detection and PSA level (as assessed in objective 3) prior to start of abiraterone or docetaxel and at C3D1. 6. To evaluate whether ptDNA fraction prior to LHRHa (stratified by no anti-androgen versus 2-3 weeks anti-androgen) associates with Progression Free Survival (PFS) and Overall Survival (OS).

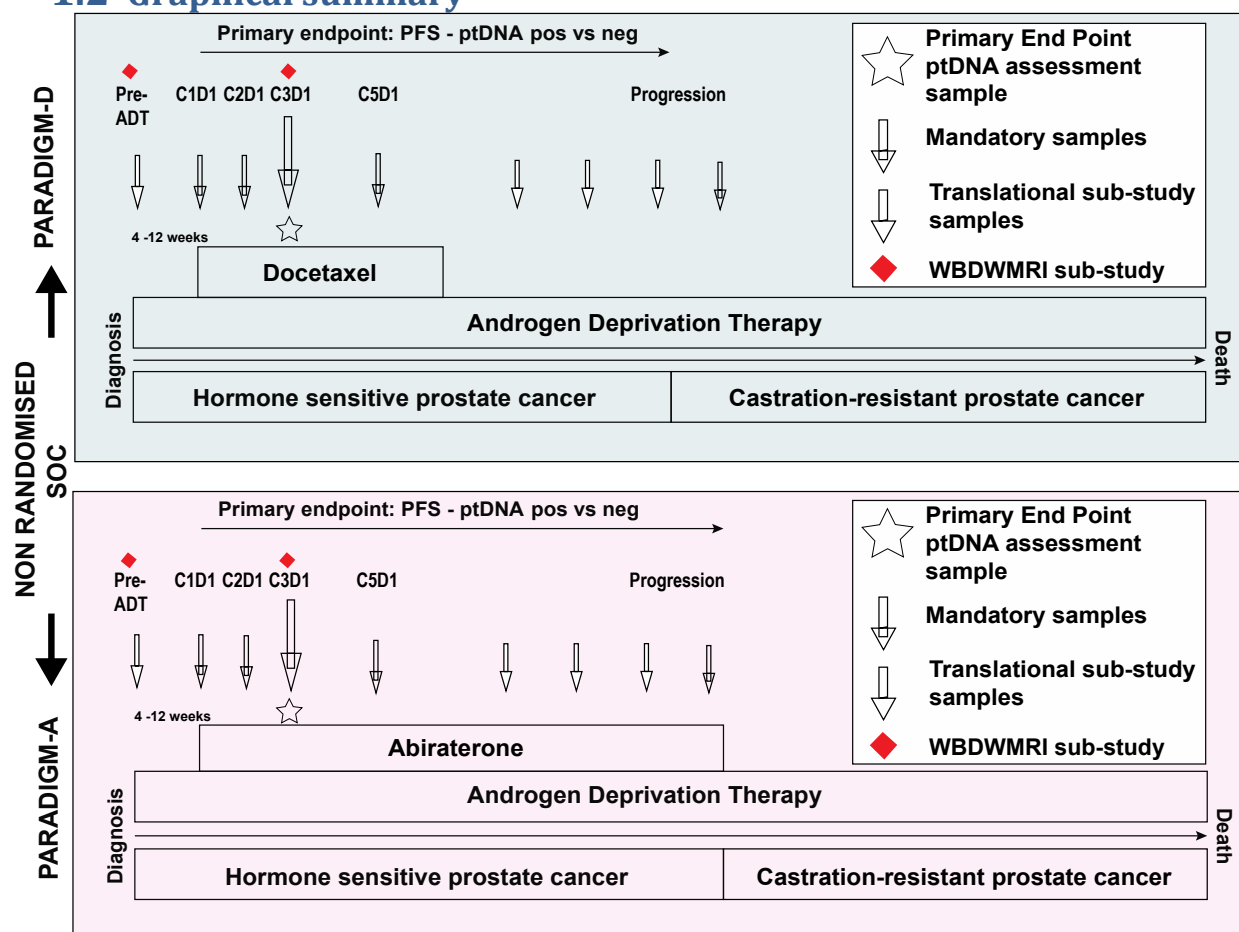
Exploratory objectives	<ol style="list-style-type: none"> 1. To develop and test a composite model incorporating different biomarkers for assessing response. 2. To describe ptDNA dynamics and compare to PSA kinetics.
Translational Research	<p>Translational research will be performed on sub-sets of patients from whom the required evaluations are made. It is expected that not all patients will be able to participate in all the translational studies but as a fundamental aspect of PARADIGM, as many patients as possible should be included in these assessments.</p> <ol style="list-style-type: none"> 1. Predictors of response to systemic treatment <ul style="list-style-type: none"> • To identify molecular signatures in plasma and tumour that associate with PFS or OS with abiraterone or docetaxel. • To identify a molecular signature in pre-ADT plasma or tumour that associates with plasma androgen receptor (AR) aberrant status at progression to castration-resistant disease. 2. Tracking of plasma DNA dynamics <ul style="list-style-type: none"> • To determine whether detection of ptDNA precedes clinical, biochemical or radiological progression. • To characterise resistant clones • To evaluate whether patients who progress with AR gain at the development of metastatic castration resistant prostate cancer (mCRPC) have a shorter time to PFS (on ADT) and OS. 3. Circulating tumour cell (CTC) dynamics at initiation of ADT <ul style="list-style-type: none"> • To evaluate whether CTC count pre-ADT and after starting ADT associates with shorter PFS and OS. • To identify CTC molecular features prior to and after starting ADT that associate with PFS or OS with abiraterone or docetaxel. 4. Interrogation of peripheral immune changes secondary to initiation of ADT and following addition of docetaxel or abiraterone <ul style="list-style-type: none"> • To determine changes in Polymorphonuclear myeloid-derived suppressor cells (PMN-MDSC) (CD11b+CD33+CD15+ cells) and monocytic myeloid-derived suppressor cells (M-MDSCs) and immune cells ((Natural killer (NK) cells, T-lymphocytes, CD4+ T-lymphocytes, CD8+ T-lymphocytes and B-lymphocytes)) from peripheral blood leukocyte samples after exposure to ADT and subsequently ADT with abiraterone or docetaxel. • To determine dynamic changes in IL-23 and other cytokines in plasma after exposure to ADT and subsequently ADT with abiraterone or docetaxel.

	<ul style="list-style-type: none"> To evaluate whether patients with rising PMN-MDSCs, M-MDSCs or cytokines post ADT and during ADT with abiraterone or docetaxel have a shorter progression free survival (PFS) and radiological progression free survival (rPFS) and OS. To define the peripheral blood immune profile and correlate with archival tumour tissue and PFS and OS. To correlate peripheral immune changes pre and post ADT with genomic changes in circulation. To determine T cell receptor (TCR) repertoire changes pre and post ADT and correlate this to PFS and OS. <p>5. WBMRI derived imaging biomarkers as a surrogate of response (only at select sites and for patients with no contra-indication to MRI)</p> <ul style="list-style-type: none"> To determine utility of WBMRI derived quantitative imaging biomarkers in predicting and assessing early response to docetaxel and abiraterone as determined by PFS and OS and association with plasma tumour markers both at baseline and changes on treatment. To identify WB imaging biomarkers (at baseline and changes during treatment) which may associate with increased risk of developing mCRPC and may be incorporated into biomarker composite models of response.
Primary Endpoint	<p>PFS for PARADIGM-D and PARADIGM-A will be reported separately and will be defined as the interval from start of docetaxel or abiraterone to disease failure as determined by at least one or more of these factors:</p> <ol style="list-style-type: none"> Symptomatic or asymptomatic new or unequivocal progression of prior distant metastases confirmed by imaging, Symptomatic progression of cancer in the prostate confirmed by imaging, Serum PSA progression in PARADIGM-D Prostate cancer specific death, defined as time from start of abiraterone or docetaxel with ADT to death from prostate cancer
Secondary Endpoint	<ol style="list-style-type: none"> Prostate Cancer Specific Survival (PCSS) defined as time from start of abiraterone or docetaxel with ADT to death from prostate cancer OS defined as time from start of abiraterone or docetaxel with ADT to death from any cause.
Number of sites:	10
Country:	UK
Trial Intervention	<p>Treatment summary:</p> <p>All patients will receive SOC treatment for metastatic prostate cancer that must include ADT with an LHRHa and addition of</p>

PARADIGM protocol version 1 14/01/2019

	<p>docetaxel or abiraterone. Prednisolone can be used as per local guidelines.</p> <p>There will be no randomisation and treatment selection will be based on patient and physician choice, funding availability and local guidelines.</p> <p>Men will be recruited to two cohorts concurrently:</p> <ul style="list-style-type: none"> • ADT + docetaxel, PARADIGM-D • ADT + abiraterone, PARADIGM-A <p>Men must have plasma collected at C3D1 of their allocated treatment and additional plasma samples at C1D1, C2D1 and C5D1. Additional samples will be collected pre-ADT* and every three months, including at treatment failure/progression, until initiation of the next line of treatment. (*Sub set of ~50 patients).</p> <p>Patients will be followed up for at least 36 months for PFS and OS and subsequent treatments will be recorded.</p> <p>All patients will be asked to consent to the collection of their archival diagnostic blocks immediately after consent.</p> <p>Clinical data collection</p> <p>Clinical data will be collected on a regular basis, including baseline characteristics, treatment details, results of key investigations (including blood tests and scans), patient status (including performance status) and outcome.</p> <p>Pre-ADT sample collection</p> <p>Research teams will try their best to collect a plasma sample as soon as possible after diagnosis of metastatic prostate cancer and when feasible, prior to start of ADT. If their long-term management plan has not been decided and discussed with them, patients could be invited to sign a pre-study consent form, have a blood sample collected and the date of start of ADT will be recorded. Samples collected after start of anti-androgen but prior to start of LHRHa will be included and will be grouped for analysis based on exposure to anti-androgen. Patients who sign the pre-study consent form will be invited to consent to the main patient information sheet (PIS) at a later date. If patients decline to consent to the PARADIGM study, they will be given the option for their samples to be either retained for translational research or destroyed. We aim to collect plasma from approximately 50 men prior to start of ADT.</p>
Duration of recruitment:	Approximately 18 months
Duration of follow up:	For 60 months from start of accrual or 42 months after last patient registered, whichever occurs first.
Definition of end of study:	For regulatory purposes the end of study will be 60 months after the first patient has been registered, or once all patients have died, whichever is sooner.

1.2 Graphical summary



1.3 Funding

Prostate Cancer UK is the main funder of the study and is supporting the central coordination of the study through the UCL CTC (MA-TR15-007). Research A costs will be reimbursed to sites as per the finance section of the Model Agreement for Non-commercial research (mNCA).

Cancer Research UK (grant numbers: C35118/A22744; C65130/A26321) supports some of the translational research.

An MRC Clinical Research Fellowship (MR/P002072/1) supported A Jayaram during the study design and set-up.

Epic Sciences, Inc. is providing support for the collection, shipment and analysis of the Circulating Tumour Cells (CTCs).

2 INTRODUCTION

2.1 Management of de novo metastatic prostate cancer

In the UK, prostate cancer is the most common cancer in men, with about 1 in 8 men diagnosed with prostate cancer at some point of their lives, equivalent to approximately 47,000 men diagnosed every year. Between 2014-2016, there were approximately 11,500 prostate cancer deaths annually in the UK¹. Up to a third of prostate cancer deaths in the UK arise in men with metastatic disease at diagnosis: de novo metastatic (M1) prostate cancer is a lethal disease and major health care burden.

Until 2015, long term ADT alone was the SOC for patients with newly-diagnosed metastatic prostate cancer, with a median time to castration resistance of approximately 13 months². Recently, randomised controlled trials have demonstrated a survival advantage and prolonged PFS for addition to ADT of systemic treatment with either docetaxel with or without prednisolone/prednisone (Doc)³⁻⁷, or more recently, abiraterone acetate with prednisolone/prednisone (AAP)^{8,9}. However, despite significant tumour responses in many patients, the majority progress to CRPC that is lethal and leads to significant suffering. There is an urgent need to improve the management of men with de novo metastatic disease. This will require accurate treatment selection, early detection of relapse and deep interrogation of treatment resistance.

The benefit of the addition of Doc to long-term ADT in patients with metastatic prostate cancer was demonstrated in two studies: CHAARTED and STAMPEDE. In the CHAARTED study, the median PFS was 20.2 months in the Doc plus ADT arm versus 11.7 months with ADT alone ((Hazard ratio (HR) 0.61; 95%CI: 0.51-0.72, $p < 0.001$))⁷. Long term survival analysis after a median follow-up of 53.7 months, demonstrated the median OS was 57.6 months for the chemo-hormonal therapy arm versus 47.2 months for ADT alone ([HR], 0.72; 95% CI, 0.59 to 0.89; $p = 0.0018$). For patients with high-volume disease defined as the presence of visceral metastases or ≥ 4 bone lesions with ≥ 1 beyond the vertebral bodies and pelvis, the median OS was 51.2 months with chemo-hormonal therapy versus 34.4 months with ADT alone (HR, 0.63; 95%CI, 0.50 to 0.79; $p < 0.001$)¹⁰. The time to CRPC was 19.4 months in the combination arm versus 11.7 months in the ADT alone arm (HR, 0.61; 95% CI, 0.52 to 0.73; $P < .001$). For high-volume disease, the median time to CRPC was 14.9 months for the combination arm versus 8.6 months for the ADT alone arm (HR, 0.58; 95% CI, 0.47 to 0.71; $P < .001$).

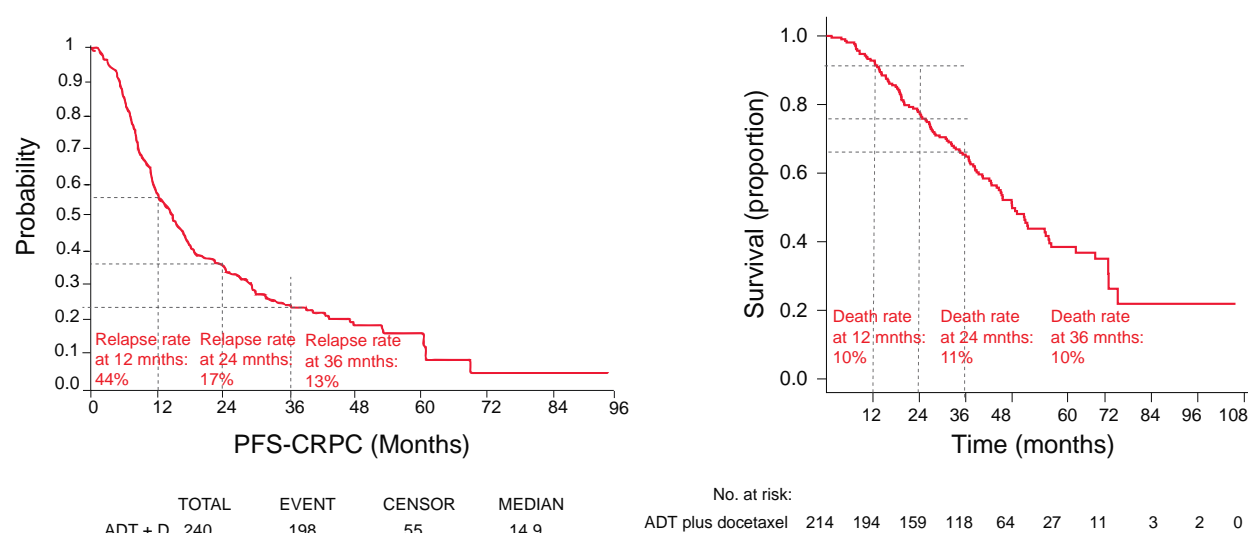


Figure 1. PFS and OS with ADT and docetaxel in de novo high-volume M1 prostate cancer in the CHAARTED trial with relapse rate estimates at 12 months, 12-24 months and 24-36 months

For M1 patients randomised in the STAMPEDE trial, after a median follow-up of 43 months ((Interquartile range (IQR) 30–60)), the median survival with ADT alone was 45 months ([IQR 23–91], 5-year survival 39%) and 60 months ([IQR 27–103], 5-year survival of 50%) for patients who received ADT with docetaxel (HR 0.76, 95% CI 0.62–0.92; $p=0.005$). The proportion of patients who developed CRPC was 81% for ADT alone versus 70% for ADT with docetaxel (HR 0.61, 95% CI 0.53–0.70; $p=0.413 \times 10^{-13}$). A post-hoc analysis of the OS and PFS in high-volume M1 is planned but has not been presented yet.

The benefit of the addition of AAP to ADT is supported by both the LATITUDE⁸ and STAMPEDE studies. The LATITUDE study recruited only high-risk metastatic hormone-naïve prostate cancer patient defined as meeting at least 2 of 3 high-risk criteria: Gleason score ≥ 8 , presence of ≥ 3 lesions on bone scan or presence of measurable visceral disease. After a median follow-up of 30.4 months, patients receiving AAP and ADT had a longer rPFS of 33 months versus 14.8 months for patients receiving ADT alone (HR=0.47; 95% CI, 0.39-0.55; $p<0.001$) and a longer OS (not reached vs. 34.7 months; HR=0.61; 95% CI, 0.51-0.76; $p<0.001$)⁸.

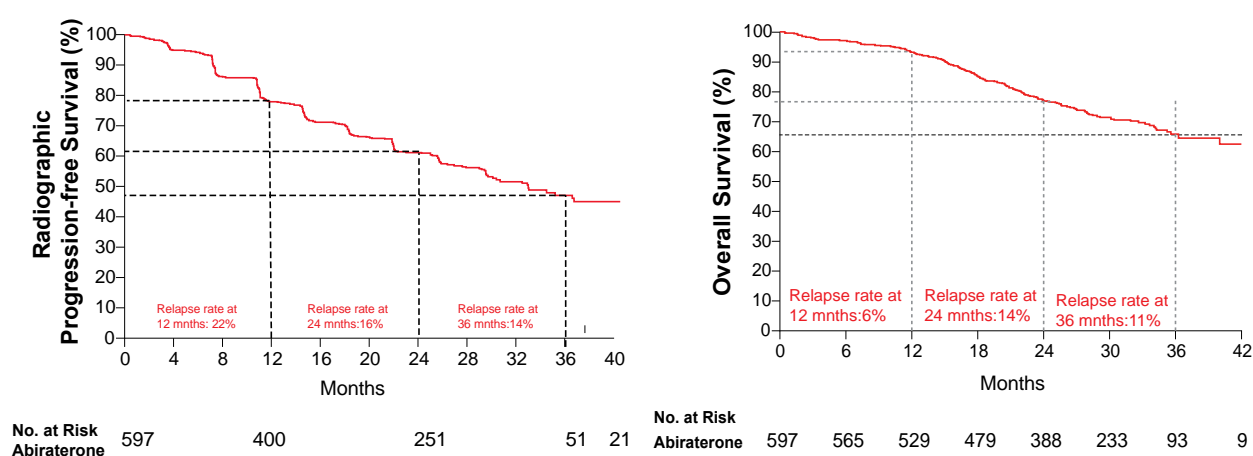


Figure 2. rPFS and OS for high-risk M1 patients in the LATITUDE trial with relapse rate estimates at 12 months, 12-24 months and 24-36 months

In the STAMPEDE trial, after a median follow-up of 40 months, the OS benefit in the high-risk M1 patient population was similarly significant (not reached versus 34 months, HR 0.54 (0.41-0.70) $p<0.001$)⁹. The STAMPEDE trial did not measure rPFS but reported failure-free survival (as for time to CRPC above). This was 30 months versus 7.9 months in the high-risk M1 group, (HR 0.31 (0.25-0.39) $p<0.001$)¹¹

2.2 Plasma DNA analysis

Plasma DNA can be extracted from the non-cellular blood compartment from all individuals. It is fragmented to an average length of 140 to 170 base pairs (bp) and is very amenable to next-generation sequencing (NGS). In cancer patients, a fraction of plasma DNA is tumour in origin ranging from $<1\%$ to $>90\%$ of total plasma DNA, with an association observed with increasing tumour or metastatic volume. PtDNA is usually present as only a few thousand amplifiable copies per millilitre of blood^{12-14 15-17 18}. Studies have demonstrated that the half-life of ptDNA is around 2 hours, and its release could therefore be a very sensitive indicator of tumour behaviour¹⁹. This approach has been primarily tested for detection of minimal residual disease(MRD) after primary treatment or for tracking disease response in metastatic disease¹².

The utility of ptDNA to quantify MRD and predict post-operative relapse has been studied in lung cancer. One of the main goals of a study published by Abbosh et al was to examine the capability of detecting MRD and the tumour subclones that drive relapse using patient-personalised ptDNA. The authors collected pre- and post-operative ptDNA

for a sub-group of 24 patients, and patients were followed-up for every 3 to 6 months and up to 31 months for relapse. Of the 14 patients that were confirmed with relapse, 13 were ptDNA positive defined as at least 2 single nucleotide variants (SNVs) detected, and the median time between ptDNA detection and relapse confirmation was 70 days. Conversely, 9 out of 10 patients who are ptDNA negative lived disease free within the follow-up period. The remaining one patient that had ptDNA detected prior to adjuvant chemotherapy, but remained ptDNA negative after the treatment, was free of relapse 688 days post-surgery. Despite the relatively small sample size, the results of the study demonstrate the utility of circulating tumour DNA (ctDNA) for predicting post-operative relapse of non-small cell lung cancer with both a sensitivity and specificity above 90%²⁰.

Similarly in colorectal cancer, the detection of ptDNA after resection of stage II colon cancer has demonstrated utility in detecting recurrence. In a study of 230 patients with resected stage II colon cancer, in patients not treated with adjuvant chemotherapy, ptDNA was detected postoperatively in 14 of 178 (7.9%) patients, 11 (79%) of whom had recurred at a median follow-up of 27 months; recurrence occurred in only 16 (9.8 %) of 164 patients with negative ctDNA [(HR), 18; 95% confidence interval (CI), 7.9 to 40; $P < 0.001$]. In patients treated with chemotherapy, the presence of ptDNA after completion of chemotherapy was also associated with an inferior recurrence-free survival (HR, 11; 95% CI, 1.8 to 68; $P = 0.001$). This study also evaluated the sensitivity of serial ptDNA analysis during the follow up period to predict subsequent radiologic recurrence. ptDNA was more frequently positive in 23 out of 27 patients than carcinoembryonic antigen (CEA) elevation at the time of radiologic recurrence (85% versus 41%); $p=0.002$) The time between ctDNA detection and radiologic recurrence (median, 167 days; IQR, 81 to 279 days) was significantly longer than the time between CEA elevation and radiologic recurrence (median, 61 days; IQR, 0 to 207 days; $P = 0.04$)²¹.

In a prospective cohort of 55 early breast cancer patients receiving neoadjuvant chemotherapy, detection of ptDNA in plasma after completion of apparently curative treatment—either at a single postsurgical time point or with serial follow-up plasma samples—predicted metastatic relapse with high accuracy. Patients with detectable ptDNA in a single post treatment sample had a median disease free survival (DFS) of 6.5 months [HR:25.1 (CI, 4.08 to 130.5; log-rank $P < 0.0001$)]. Detection of ctDNA in serial samples was predictive of early relapse [disease-free survival: median of 13.6 months (ptDNA detected) versus median not reached (ptDNA not detected); HR, 12.0 (95% CI, 3.36 to 43.07)], with a C-index of 0.75. Detection of ptDNA by mutation tracking was a significant predictor of early relapse in a multivariable model. Mutation tracking in serial samples increased sensitivity for the prediction of relapse, with a median lead time of 7.9 months over clinical relapse²².

PtDNA analyses in mCRPC have shown a strong association with clinical outcome and clinico-pathological variables²³⁻²⁷. In mCRPC, a phase II study of cabazitaxel versus abiraterone or enzalutamide in poor prognosis mCRPC presented at European Society of Medical Oncology (ESMO) 2018, demonstrated that notably no patients with undetectable ptDNA had died in the study. Patients with ptDNA percent of 30-100 had a HR for progression of 4.2 (95%CI 2.04-8.68, $p<0.001$). ptDNA change on therapy was also highly prognostic - ptDNA increase while on therapy had a HR of 6.24 (95%CI 2.09-16.63, $p=0.001$) for OS. Additionally on treatment change of ptDNA was prognostic. Patients with an increase in ptDNA fraction between baseline and end of cycle 4 had a shorter PFS (HR 4.26; 95% CI 1.76-10.32, $p<0.001$) as well as shorter OS²⁸. Preliminary data from analysis of plasma DNA collected from M1 patients prior to and after starting ADT shows a rapid decline in ptDNA levels with ~20% of patients remaining with detectable ptDNA on treatment²⁹.

Studies of sequential plasma samples in mCRPC have identified i) emergence of genomic aberrations harboured by resistant clones several months prior to clinical or radiological progression and ii) drivers of resistance, for example *AR* mutations in patients treated with abiraterone and prednisolone or *BRCA2* reversion mutations in *BRCA2* mutant patients treated with PARP inhibitors^{26,30,31 32}. This introduces the opportunity to expand this approach to analysis of patients treated with ADT and docetaxel or abiraterone. Given tumour fraction may be lower than later stage mCRPC, higher sensitivity approaches will be required. Plasma *AR* copy number (CN) gain is detected in 15% of patients at

development of CRPC and associates with worse clinical outcome in mCRPC patients treated with AR targeting agents³³. Prostate cancer patients who have plasma AR gain at development of CRPC have a significantly shorter response to ADT³⁴(Figure 3), suggesting pre-existence of the AR aberrant clone and introducing the opportunity to detect it prior to development of mCRPC, allowing treatment intensification. These reports are retrospective and potential biases resulting from presenting metastatic burden have not been controlled for.

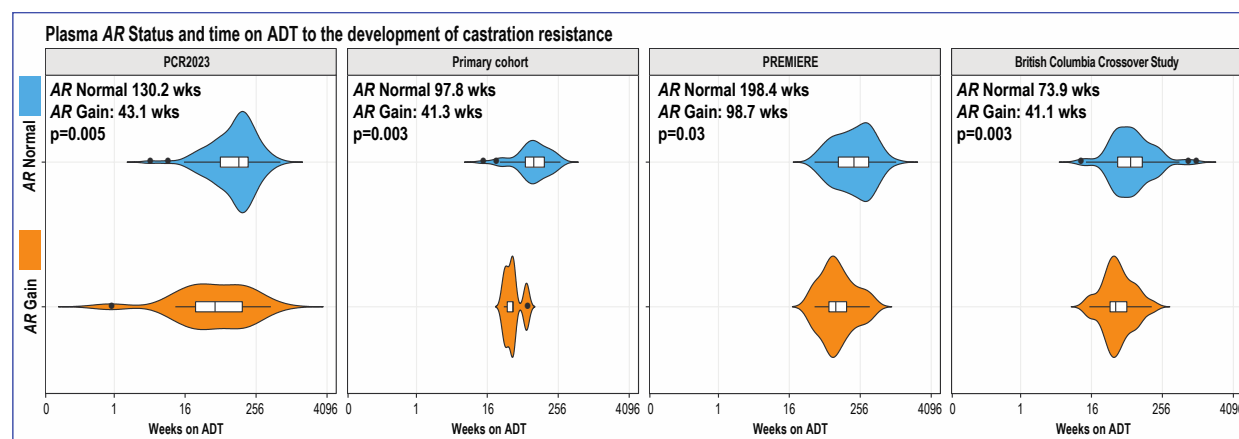


Figure 3. Plasma AR copy number status and time on ADT to the development of castration resistance. X axis (weeks) scaled as log 2

PtDNA detection in early disease requires approaches with high sensitivity and specificity that are best achieved by bespoke optimisation for the tumour type and disease setting. For example, amplicon-based or customized target enrichment using improved biochemistry of random molecular barcoding and optimized, error-correcting analysis on ultra-deep sequencing (i.e. >10,000X) can potentially improve the sensitivity of rare mutation and indel detection³⁵⁻³⁸. Epigenetic information, such as DNA methylation status, can be extracted from plasma DNA using modified NGS protocols to obtain information additional to the genomic status³⁹. DNA methylation, the addition of methyl group to cytosine, is a modification that occurs at thousands of sites across the genome and is tissue-of-origin and cancer specific. This could be used to improve the sensitivity of assays.

2.3 Nomenclature and categorisation related to disease metastatic status

The CHAARTED and LATITUDE trials pre-defined an M1 patient population with a more homogeneous worse outcome using poor prognosis variables based on radiologically defined metastatic volume or a composite of radiological and pathological criteria (used for sub-group analysis or patient selection) respectively. The CHAARTED trial used the term high-volume and the LATITUDE trial used high risk. The LATITUDE trial composite had one of its requirements as Gleason score >8, which was present in ~85% of patients. The radiological criteria differed slightly between the two trials: both recognised visceral disease as a criterion for inclusion but CHAARTED specified a requirement for bone metastases outside the spine or pelvis whilst the LATITUDE trial required ≥3 lesions on bone scan. Other trials have used higher numbers of bone metastases detected on bone scan for categorising patients, for e.g. the HORRAD trial sub-group analyses used alternative cut-offs of <5 lesions, 5–15 lesions, >15 lesions on bone scan⁴⁰. The sub-group analysis of Arm H in the STAMPEDE trial, namely the randomisation of patients to radiotherapy to the primary tumour in combination with ADT, used the CHAARTED definition for its pre-planned primary analysis but plans sub-group analyses using the LATITUDE and HORRAD definitions⁴¹. The primary publication of this trial used the term “high burden”. Although approximately 18% of patients show a discordance in classification by LATITUDE or CHAARTED criteria, the OS of the ADT alone arms in the two trials was very similar: 34.4 months in CHAARTED and 34.7 in LATITUDE. Post-hoc analysis of patients randomised to abiraterone in the STAMPEDE trial have shown an equivalent benefit for all patients regardless of volume status, using both the CHAARTED and LATITUDE definitions, suggesting

an equivalent treatment effect across all M1 patients¹¹. PARADIGM is selecting patients meeting the LATITUDE definition of high-risk disease for the following reasons:

- To maximise detection of ctDNA given the technical challenges for its detection in lower volume patients
- Approval of abiraterone and funding access in the UK will be restricted to this population
- The high relapse rate and relatively short survival make improved outcomes in this population an urgent unmet need.

Patient support groups have expressed concern about the use of terms such as “high-risk” or “high-volume”, as they increase the anguish of a man recently conveyed a diagnosis of prostate cancer. Following discussions with a number of patient representatives, we have decided to use the term polymetastatic. This term will also distinguish from “oligo-metastatic” disease that will be increasingly managed differently and more radically than the polymetastatic population.

2.4 Justification for conducting PARADIGM

As both therapeutic combinations are effective, there are now two distinct standards-of-care for patients with prostate cancer starting ADT. There is currently very little data to guide clinicians as to which is the more effective treatment; direct, randomised, comparative analysis of the ADT and Doc with the ADT and AAP arm showed no evidence of a difference in overall or prostate cancer-specific survival, nor in other important outcomes such as symptomatic skeletal events⁴². Moreover, multiple new therapeutic approaches are being considered for evaluation in this setting. Physicians use a maximum of six cycles of docetaxel and continue abiraterone until radiographic disease progression. Earlier detection of treatment futility or predictive biomarkers of sensitivity could minimise unnecessary toxicity and maximise efficacy with improved treatment sequencing. A PSA level (<0.2, 0.2-4, >4ng/dl) after 7 months of starting ADT with or without docetaxel is associated with shorter survival (85% vs 73% vs 55%) but is insufficiently sensitive to be used alone to guide treatment⁴³ and the 7-month time-point for analysis limits utility for early discontinuation of ineffective treatment. The challenges with using serum PSA have been highlighted in several studies in mCRPC and include the relatively long half-life of serum PSA and due to its exquisite androgen-regulated sensitivity, serum PSA may not be reflective of less androgen-regulated clones⁴⁴.

The PARADIGM study primary endpoint is PFS represented as progression to CRPC and initiation of the next line of treatment. The criteria used by physicians for initiating first-line treatment for mCRPC differ by whether docetaxel or abiraterone are used and this is reflected in the definition of the primary endpoint in PARADIGM-D and PARADIGM-A. OS is not the primary endpoint due to the impact subsequent effective treatments could have an OS that would not be captured by ptDNA after two cycles of docetaxel or abiraterone.

2.5 Immune Profiling in Metastatic Prostate Cancer

The well-established dependency of cancer cells on the tumour microenvironment indicates that the microenvironment might control the emergence of CRPC. Changes in peripheral blood cell fractions of the innate and adaptive immune system have been found in mCRPC when compared to sex-matched healthy volunteers. In mCRPC, it was noted that there was a trend for decreased levels of NK cell ($p=0.09$), lower T-lymphocytes ($p<0.0001$), CD4+ T-lymphocytes ($p<0.0001$), CD8+ T-lymphocytes, as well as a trend for a lower CD4 over CD8 ratio ($p=0.11$), and decreased B-lymphocytes ($p<0.003$). Monocytic myeloid derived suppressor cells (M-MDSC) levels are increased in the peripheral blood of mCRPC patients, with an increase in M-MDSC levels following 1 or 2 lines of hormonal treatment (abiraterone or enzalutamide), mirrored by a decreased Human leukocyte antigen – DR isotype (HLA-DR) expression on mature monocytes. M-MDSCs were found to be associated with a shorter PSA-PFS (HR 1.072 with

PARADIGM protocol version 1 14/01/2019

95%CI 1.001-1.149, $p=0.047$). PMN-MDSCs is also associated with a lower likelihood of PSA response (OR 0.310 with 95%CI 0.101-0.954, $p=0.001$). M-MDSC and CD14+CD15+ PMN-MDSC were associated with shorter OS (HR 1.064 with 95%CI 1.021-1.109, $p=0.003$ and HR 1.13 with 95%CI 1.018-1.247, $p=0.021$, respectively). Conversely, NK and CD4+ T-cells were associated with a longer OS (HR 0.580 with 95%CI 0.366-0.917, $p=0.02$ and HR 0.962 with 95%CI of 0.932-0.993, $p=0.015$, respectively)⁴⁵.

Analysis of biopsies from patients with CRPC compared with castration-sensitive prostate cancer (CSPC) revealed that CRPCs had an enrichment of PMN-MDSC (CD11b⁺CD33⁺CD15⁺ cells) but not CD11b⁺CD15⁻ which were localized in close proximity to Epithelial Cell Adhesion Molecule (EpCAM) + epithelial tumour cells. In mouse models of CRPC, PMN-MDSC infiltration is linked to AR activation, conferring castration resistance. With castration, PMN-MDSCs number increased over time, paralleling the emergence of CRPC. While PMN-MDSCs increased in castrated tumours, the frequency of tumour associated macrophages (TAMs) were decreased. It has been noted that PMN-MDSCs represented the major subset of immune cells that increased in Pten-null tumours upon castration. Additionally, mouse models of PTEN null prostate tumours are heavily infiltrated by a population of infiltrating CD11b⁺Gr-1⁺ myeloid cells. These cells protect a fraction of proliferating tumour cells from senescence and therefore maintaining tumour growth. It has been suggested that MDSCs may drive chemo-resistance in human prostate cancer. In the adjuvant setting, it was observed that prostate cancer patients having tumours infiltrated by CD33⁺ myeloid cells, relapsed after docetaxel treatment⁴⁶.

Tumour-infiltrating MDSCs secrete IL23, which induce transcription of AR target genes, confer resistance to androgen deprivation and promote prostate cancer cell proliferation and survival⁴⁷. In CRPC patients IL23 is expressed in PMN-MDSCs from biopsies and plasma levels of IL-23 was substantially higher than in patients with CSPC. Plasma IL-23 levels statistically correlated with tumour-infiltrating PMN-MDSC counts (EpCAM-CD11b+CD33+CD15+ cells) but not with other myeloid cell population counts (CD11b+CD15- cells).

MDSC blockage has recently been shown to revert docetaxel chemo-resistance in a mouse model of prostate cancer, thus suggesting that combinatorial approaches aimed to affect MDSC trafficking or functionality should be taken in consideration. Indeed, docetaxel-induced senescence and efficacy was increased in Pten-null prostate tumours when the percentage of tumour was reduced by treating the mice with an antagonist of CXCR2 chemokine receptor 2 (CXCR2). Therapeutically, docetaxel-induced senescence and efficacy were higher in PTEN null tumours when the percentage of tumour-infiltrating CD11b⁺Gr-1⁺ myeloid cells was reduced using a CXCR2 antagonist⁴⁸.

Some studies have suggested that ADT with a Gonadotropin-releasing hormone (GnRH) analogue 1) can induce an expansion of the naïve T-cell compartment with continued thymic output; 2) may decrease the T-cell activation threshold; and 3) may elicit changes and adaptive responses by 1 month after beginning therapy with changes persisting over the course of therapy. This may augment the desired effects prostate cancer-directed immunotherapies by increasing the pool of naïve T-cells which could respond to the immunotherapy, by enhancing T-cell responsiveness, and these effects may persist over the course of androgen deprivation. Patients treated with ADT develop persistent changes in adaptive immune responses. In particular some patients developed a continued expansion of naïve T-cells through thymic output demonstrated by an increase in the CD4⁺ naïve T-cell (CCR7⁺, CD45RO⁻) and Recent Thymic Emigrants (RTE) (CD31⁺, CD45RO⁻) population. This suggests the pool of T-cells with greater TCR diversity may be present after ADT. In addition, T-cells in the periphery of treated patients proliferated more robustly to TCR and co-receptor stimulation, and IgG responses developed to proteins of the prostate which could be detected in the sera by one month after beginning ADT⁴⁹. A number of studies have suggested that whole-blood gene profiling could identify gene-expression signatures that stratify patients with castration-resistant prostate cancer into distinct prognostic groups^{49,50}.

2.6 Circulating tumour cells in Metastatic Prostate Cancer

Several studies in mCRPC have shown CTC count to be strongly prognostic⁴⁴. Molecular analyses have suggested additional utility. The detection of AR-V7 in CTCs is associated with worse outcome with AR targeting therapies. AR-V7 is a splice variant that encodes a truncated AR protein that lacks the C-terminal ligand-binding domain but retains the transactivating N-terminal domain⁵¹. Although the resulting truncated proteins are unable to bind ligand, they are constitutively active as transcription factors and capable of promoting activation of target genes. The association between the detection of AR-V7 messenger RNA (mRNA) in an enriched (selected) fraction of CTCs, poor PSA responses, and shorter radiographic progression-free survival times after treatment with AR targeting therapy was first reported in 2014^{52,53}. Follow-up studies with the same assay showed not only a negative association with OS for patients positive for AR-V7 who were treated with AR targeting therapy but also that PSA response and survival with taxane-based therapy were not affected by AR-V7 status⁵⁴.

Use of the mRNA determinant as a blood-based biomarker has limitations such as stability of the blood sample, which varies as a function of the collection tube used and the time to sample processing, and in the case of a transcription factor such as AR-V7, an inability to discern if the coded protein is actually localized in the nucleus of cells where it functions to drive tumour growth. The Epic Sciences platform has developed a protein-based assay that can discern the presence and cellular localization of AR-V7 protein in CTCs. This approach deposits all nucleated cells from a patient's blood sample onto proprietary, positively charged slides and uses fluorescent scanners to image each cell and identify CTCs. The approach enables a high sensitivity of CTC detection with minimization of cell loss or damage⁵⁵⁻⁵⁸, as well as protein biomarker assessment on individual CTCs. Higher PSA response rates, longer radiographic progression-free survival times, and better OS were observed among patients with detectable nuclear-localised AR-V7– positive CTCs who received taxanes, relative to those who received AR targeting therapy^{55,59}. Additional value appears to arise in mCRPC from including molecular features in prognostic algorithms, including CTC nuclear AR-V7 expression, features of intra-patient inter-cellular heterogeneity and indices of genomic instability^{55,57}. Utilizing digital pathology features on individual CTCs enables defining phenotypically distinct cell types. This enables heterogeneity to be quantified on the basis of the diversity of cell types in individual patient samples using the Shannon index. Low CTC phenotypic heterogeneity was associated with better OS in patients treated with AR targeting therapy, whereas high heterogeneity was associated with better OS in patients treated with taxane chemotherapy⁵⁷. Limited data for CTC analysis exists for de novo polymetastatic prostate cancer but capture and analysis of single CTC may provide additional information to tissue biopsy and plasma DNA analysis.

Micro-fluidic based and very sensitive CTC capture platforms combined with PSA/ Prostate specific membrane antigen (PSMA) dual immunophenotyping detected CTCs in patients with newly-diagnosed metastatic prostate cancer. AR activity was predominantly positive among the patients with detectable CTCs, with the vast majority of CTCs showing an “AR-on” phenotype (PSA⁺/ (PSMA)⁺). The initiation of ADT resulted in a change from an “AR-on” to an “AR-off” phenotype in the majority of CTCs within a month of treatment and complete disappearance of CTCs by 3 months of treatment⁶⁰. However overall, the data on CTC at start of ADT is limited and their analyses in PARADIGM could constitute a novel contribution.

2.7 Whole body diffusion-weighted MRI for hormone sensitive metastatic prostate cancer

Isotope bone scans and computed tomography (CT) remain the SOC imaging modalities for the assessment of metastatic prostate cancer though suffer from widely known limitations in evaluating baseline disease burden as well as monitoring treatment response^{61,62}. Sensitivity for metastatic disease detection on CT is low; sub-centimetre metastatic lymph nodes are incorrectly ascribed as normal and early bone lesions are not visible⁶³. Whole-body technetium-labelled bone scan is insensitive for early disease detection as it images the reaction within bone to disease presence rather than tumour itself⁶⁴, as such bone scan are inferior to emerging imaging methods in bone lesion detection⁶⁵. Early response assessment is also problematic, for example, on CT in the absence of extra-osseous soft tissue disease but may be seen late when normal trabecular bone is restored⁶¹.

PARADIGM protocol version 1 14/01/2019

Adding to the complexity of imaging assessment is that metastatic lesions demonstrate considerable inter-patient as well as intra-patient/inter-metastasis heterogeneity. Whole-genome and deep sequencing analyses on metastatic biopsies from prostate cancer, has demonstrated that after sharing a common clonal origin, individual metastases may differentiate independently of each other and/or the primary tumour⁶⁶. There is therefore a clearly recognised requirement for the development of imaging biomarkers to better detect disease, monitor treatment and potentially predict response to guide therapy in concert with ongoing molecular blood-based testing. A potential added benefit of imaging is its ability to assess each tumour site individually (rather than a global assessment afforded by plasma biomarkers for example) to further tailor treatment.

Although nuclear medicine scans such as choline and PSMA PET (Positron emission tomography) -CT have shown promising results for disease detection, their values for response monitoring remains largely unclear and limited to small cohort studies. Furthermore, PET-CT scans impart substantial radiation dose, are largely limited to tertiary centres and are expensive to run⁶⁷.

Whole body diffusion weighted magnetic resonance imaging (WB-DW-MRI) is a non-ionising imaging technique that can be used to assess a variety of cancers, including Prostate cancer at a lower cost compared with PET-CT. It has been shown that WBMRI is superior to conventional imaging techniques (including bone scan, CT, PET-CT) for bony disease detection in variety of cancers^{68,69}, including metastatic Prostate cancer⁷⁰. Additionally, using WBMRI derived quantitative imaging biomarkers (QIBs), one can interrogate multiple aspects of tumoural microenvironments including cellularity, vascularity and fat content in one scanning session such that early post-treatment QIB changes have been shown to address current shortcomings of global measurements of response in multiple myeloma⁷¹. Ongoing work done at UCL Centre for Medical Imaging has looked at WBMRI derived signal fat fraction in lymph nodes as a marker of nodal disease status and treatment response in patients with radio-recurrent prostate cancer capable of providing a QIB to classify pre-treatment nodal disease status whilst change in signal fat fraction may help identify responding lymph nodes (ROC-AUC 0.86). A translational sub-study of WBMRI within the PARADIGM study represents an opportunity to assess the utility of QIB derived from WBMRI in assessing response in concert with other state of the art biomarkers to individualise therapy and their potential to feed into composite biomarker modelling.

2.8 Future implications of PARADIGM

PARADIGM will provide Stage 1 clinical qualification⁷² for the association of ptDNA and worse outcome after 1-2 cycles of abiraterone or docetaxel at start of ADT. If positive, our aim will be to then conduct a second study (PARADIGM-2) that will evaluate improved outcomes by changing treatment after one or two cycles in ptDNA positive patients. This second study would be required to provide the Level 1 evidence to change clinical practice. Use of ptDNA as studied in the PARADIGM studies will have a number of implications for patients:

- Reducing exposure to ineffective treatments: less toxicity and greater cost efficiency
- Maximising treatment efficacy by earlier switch to a potentially more effective therapy
- Implementation of biomarkers for improved treatment selection

3 STUDY DESIGN

A prospective, observational, biomarker-focused, translational-platform cohort study in newly diagnosed high-risk metastatic prostate cancer patients starting long-term systemic therapy.

3.1 Study Objectives

3.1.1 Primary Objective:

To determine whether the detection of ptDNA after two cycles of abiraterone (with prednisolone) or docetaxel (with or without prednisolone) added after start of ADT is associated with a worse clinical outcome in newly diagnosed polymetastatic prostate cancer.

3.1.2 Secondary Objectives:

1. To compare ptDNA classification at C2D1 and C5D1 with C3D1.
2. To determine whether the detection of ptDNA after four to twelve weeks of starting ADT and prior to starting abiraterone or docetaxel associates with a worse clinical outcome.
3. To determine the association between clinical outcome and prostate specific antigen (PSA) level (<0.2, 0.2-4, >4ng/dl) after four to twelve weeks of starting ADT and prior to starting abiraterone or docetaxel and at C2D1, C3D1, C5D1 (for both abiraterone and docetaxel) and at 7 months after start of ADT.
4. To assess whether ptDNA detection is a better predictor of clinical outcome than PSA level (as assessed in objective 3) after four to twelve weeks of starting ADT and prior to starting abiraterone or docetaxel and at C2D1, C3D1 and C5D1.
5. To compare associations with clinical outcome for the change in ptDNA detection and PSA level (as assessed in objective 3) prior to start of abiraterone or docetaxel and at C3D1.
6. To evaluate whether ptDNA fraction prior to LHRHa (stratified by no anti-androgen versus 2-3 weeks anti-androgen) associates with Progression Free Survival (PFS) and Overall Survival (OS).

3.1.3 Exploratory objective

1. To develop and test a composite model incorporating different biomarkers for assessing response.
2. To describe ptDNA dynamics and compare to PSA kinetics.

3.1.4 Translational objectives

Translational research will be performed on sub-sets of patients from whom the required evaluations are made. It is expected that not all patients will be able to participate in all the translational studies but as a fundamental aspect of PARADIGM, as many patients as possible should be included in these assessments.

1. Predictors or response to systemic treatment
 - i. To identify molecular signatures in plasma and tumour that associate with PFS or OS with abiraterone and docetaxel.
 - ii. To identify a molecular signature in pre-ADT plasma or tumour that associates with plasma AR aberrant status at progression to castration-resistant disease.
2. Tracking of plasma DNA dynamics
 - i. To determine whether detection of ptDNA precedes PFS as defined for the primary endpoint.
 - ii. To molecularly characterise resistant clones.
 - iii. To evaluate whether patients who progress with AR gain at the development of mCRPC have a shorter time to PFS (on ADT) and OS.

3. CTC dynamics at initiation of ADT
 - i. To evaluate whether CTC count pre-ADT and after starting ADT associates with shorter PFS and OS.
 - ii. To identify CTC molecular features prior to and after starting ADT that associate with PFS or OS with abiraterone or docetaxel.
4. Interrogation of peripheral immune changes secondary to initiation of ADT
 - i. To determine changes in PMN-MDSC (CD11b+CD33+CD15+ cells) and M-MDSCs and immune cells NK cells, T-lymphocytes, CD4+ T-lymphocytes, CD8+ T-lymphocytes and B-lymphocytes)) from peripheral blood leukocyte samples after exposure to ADT and subsequently ADT with abiraterone or docetaxel.
 - ii. To determine dynamic changes in IL-23 and other cytokines in plasma after exposure to ADT and subsequently ADT with abiraterone or docetaxel.
 - iii. To evaluate whether patients with rising PMN-MDSCs, M-MDSCs or cytokines post ADT and during ADT with abiraterone or docetaxel have a shorter PFS and rPFS and OS.
 - iv. To define the peripheral blood immune profile and correlate with archival tumour tissue and PFS and OS.
 - v. To correlate peripheral immune changes pre and post ADT with genomic changes in circulation.
 - vi. To determine TCR repertoire changes pre and post ADT and correlate this to PFS and OS.
5. WBMRI derived imaging biomarkers as a surrogate of response
 - i. To determine utility of WBMRI derived quantitative imaging biomarkers in predicting and assessing early response to docetaxel and abiraterone as determined by PFS and OS and association with plasma tumour markers both at baseline and changes on treatment.
 - ii. To identify WBMRI imaging biomarkers (at baseline and changes during treatment), which may associate with increased risk of developing mCRPC and may be incorporated into biomarker composite models of response.

3.2 Study Endpoints

3.2.1 Primary end point

The primary endpoint is PFS for PARADIGM-D and PARADIGM-A. This will be reported separately and will be defined as the interval from start of docetaxel or abiraterone to progression to CRPC, usually necessitating a treatment change, as determined by at least one or more of the following factors:

1. Symptomatic or asymptomatic progression of or new distant metastases confirmed by imaging,
2. Symptomatic progression of cancer in the prostate confirmed by imaging,
3. Serum PSA progression in PARADIGM-D,
4. Prostate cancer specific death, defined as death from prostate cancer. Death from any other cause including toxic death will be excluded.

The calculation is detailed further in section 12.3

3.2.2 Secondary End Point

1. PCSS, defined as time from start of abiraterone or docetaxel with ADT to death from prostate cancer.
2. OS defined as time from start of abiraterone or docetaxel with ADT to death from any cause.

3.3 Study Activation

UCL CTC will ensure that all study documentation has been reviewed and approved by all relevant bodies and that the following have been obtained prior to activating the study:

- Health Research Authority (HRA) approval, including Research Ethics Committee approval
- 'Adoption' into NIHR portfolio
- Adequate funding for central coordination

PARADIGM protocol version 1 14/01/2019

- Confirmation of sponsorship
- Adequate insurance provision

4 SELECTION OF SITES/SITE INVESTIGATORS

4.1 Site Selection

In this protocol study 'site' refers to a hospital where study-related activities are conducted.

Sites must be able to comply with:

- SOC treatment(s), imaging, clinical care, follow up schedules and all requirements of the study protocol
- Requirements of the Research Governance Framework and all amendments
- Data collection requirements, including adherence to Case Report Form (CRF) submission timelines as per section 13.
- Biological sample collection, processing and storage requirements
- Monitoring requirements, as outlined in protocol section 16 (Study Monitoring and Oversight) and the trial monitoring plan

4.2 Selection of Principal Investigator and other investigators at sites

Each sites must appoint an appropriate Principal Investigator (PI), i.e. a health care professional authorised by the site to lead and coordinate the work of the study on behalf of a site. Co-investigators must be trained and approved by the PI. The PI is responsible for the conduct of the study at their site and for ensuring that any amendments are implemented in a timely fashion. If a PI plans to take a leave of absence, UCL CTC must be informed promptly. For absences greater than three months or where the PI is no longer able to perform his/her role, a new suitable replacement PI must be identified by the site and UCL CTC notified. UCL CTC may suspend recruitment at a site where a suitable replacement PI has not been identified within three months

4.3 Training requirements for site staff

All site staff must be appropriately qualified by education, training and experience to perform the study related duties allocated to them, which must be recorded on the site delegation log.

CVs for all staff must be kept up-to-date, signed and dated and copies held in the Investigator Site File (ISF). A current, signed copy of the CV with evidence of Good clinical practice (GCP) training (or copy of GCP certificate) for the PI must be forwarded to UCL CTC upon request.

GCP training is required for all staff responsible for study activities. The frequency of repeat training may be dictated by the requirements of their employing institution, or two yearly where the institution has no policy, and more frequently when there have been updates to the legal or regulatory requirements for the conduct of clinical trials.

4.4 Site Initiation and Activation

4.4.1 Site initiation

Before a site is activated, the UCL CTC trial team will arrange a site initiation with the site which the PI and site research team must attend. The site will be trained in the day-to-day management of the study and essential documentation required for the study will be checked.

Site initiation will be performed for each site initially by site visit. This training will include the management of the blood sample collection. Re-initiating sites may be required when there has been a significant delay between initiation and enrolling the first patient, in accordance with the monitoring plan.

4.4.2 Required documentation

The following documentation must be submitted by the site to UCL CTC prior to a site being activated by the UCL CTC trial team:

- Trial specific UK Site Registration Form (identifying relevant local staff).

- Relevant institutional approvals.
- A completed site delegation log that is initialled and dated by the PI (with all tasks and responsibilities delegated appropriately).
- Completed site contacts form (with contact information for all members of local staff).
- A signed and dated copy of the PI's current CV (with documented up-to-date GCP training, or copy of GCP training certificate).

In addition, the following agreements must be in place:

- A signed model clinical trial agreement (mNCA) between the Sponsor and the relevant institution (usually an NHS Trust/Health Board).

4.4.3 Site activation letter

Once the UCL CTC trial team has received all required documentation and the site has been initiated, a site activation letter will be issued to the PI, at which point the site may start to approach patients.

Following site activation, the PI is responsible for ensuring:

- Adherence to the most recent version of the protocol.
- All relevant site staff are trained in the protocol requirements.
- Appropriate recruitment and medical care of patients in the trial.
- Timely completion and return of Case report forms (CRFs)
- Prompt notification and assessment of all serious adverse reactions (SARs).

5 SELECTION OF PATIENTS

5.1 Screening Log

A screening log will not be mandated for the study. Research teams are encouraged to raise screening concerns that arise at TMG meetings.

5.2 Patient Eligibility

Queries in relation to the eligibility criteria must be addressed prior to registration. Patients are eligible for the study if all the inclusion criteria are met and none of the exclusion criteria applies.

Patients' eligibility must be confirmed by an investigator who is suitably qualified and who has been allocated this duty, as documented on the site staff delegation log, prior to registering the patient. Confirmation of eligibility must be documented in the patients' notes and on the registration CRF.

Patients must give written informed consent before any study-specific screening investigations are carried out. Refer to section 10.1 (Pre-registration) for the list of assessments and procedures required to evaluate the suitability of patients prior to entry.

5.2.1 Inclusion criteria

1. Able and willing to provide written informed consent
2. Prostate adenocarcinoma confirmed on biopsy obtained in previous 6 months
3. Polymetastatic disease defined as two of the following:
 - i. Gleason score of ≥ 8 ,
 - ii. Presence of ≥ 3 lesions on bone scan,
 - iii. Presence of measurable visceral lesion
4. Eastern Cooperative Oncology Group (ECOG) Performance status 0 to 2
5. No medical contra-indications to abiraterone or docetaxel
6. Patients should be either of the following:
 - i. Planned to start long-term Luteinizing hormone (LH) suppression, or
 - ii. within 10 weeks of starting long-term LHRH antagonist, or
 - iii. within 12 weeks of starting LHRH agonist or an anti-androgen when the latter is used in combination with or prior to LHRH agonist for flare protection.
7. Patients should be planned for addition of docetaxel (PARADIGM-D) or abiraterone (PARADIGM-A) 5 to 10 weeks after start of LHRHa (or 7 to 12 weeks if LHRH agonist is started without anti-androgen) with a target of 6 cycles or continuation until progression respectively.
8. No concomitant medical conditions likely to reduce life expectancy.
9. Patient agrees to be followed up in the recruiting centre and to having sequential plasma samples collected as per the study protocol.

5.2.2 Exclusion criteria

1. Medically unsuitable for either abiraterone, prednisolone or docetaxel.
2. Concurrent or planned for (within the first 5 cycles of docetaxel or abiraterone) treatment with any experimental drugs, oestrogen patches, radiotherapy or surgery to the primary tumour. Patients randomised to the standard of care (SOC) arm in open-label clinical trials are eligible. Patients who are still to be randomised to STAMPEDE may be included where the randomisation will be limited to SOC or aK. Patients can participate in other observational studies.

-
3. Prior systemic therapy for prostate cancer other than for LHRHa +/- anti-androgen (started within the time limits defined in inclusion criterion 6).
 4. Metastatic brain disease or leptomeningeal disease.
 5. Any surgery planned prior to Cycle 3 Day 1 (C3 D1)
 6. Other current malignancy or malignancy diagnosed or relapsed within the past 5 years (other than non-melanomatous skin cancer, stage 0 melanoma in situ and non-muscle invasive bladder cancer).
 7. Patients who consent to the whole-body magnetic resonance imaging (WBMRI) translational sub-study should have no contraindications to MRI as per local guidelines.

6 INFORMED CONSENT

Sites are responsible for assessing a patient's capacity to give informed consent. There are three separate PIS and consent forms for this study which are further explained below. There is no minimum time that must pass from first approaching the patient before consent can be taken. If the patient wishes, he can sign the consent form on the same day he is approached. Sites must assess a patient's ability to understand verbal and written information in English and whether or not an interpreter would be required to ensure fully informed consent. If a patient requires an interpreter and none is available, the patient should not be considered for the study.

The PI, or, where delegated by the PI, other appropriately trained site staff, are required to provide a full explanation of the study and all relevant treatment options to each patient prior to study entry.

Written informed consent on the current approved version of the consent form for the study must be obtained before any study-specific procedures are conducted. The discussion and consent process must be documented in the patient notes.

Site staff are responsible for:

- Checking that the current approved version of the PIS and consent form are used.
- Checking that information on the consent form is complete and legible.
- Checking that the patient has initialled all relevant sections and signed and dated the form.
- Checking that an appropriate member of staff has countersigned and dated the consent form to confirm that they provided information to the patient.
- Checking that an appropriate member of staff has made dated entries in the patient's medical notes relating to the informed consent process (i.e. information given, consent signed etc.).
- Following registration adding the patients' study number to all copies of the consent form, which should be filed in the patient's medical notes and investigator site file.
- Following registration, giving the patient a copy of their signed consent form, and PIS.

The right of the patient to refuse to participate in the study without giving reasons must be respected. All patients are free to withdraw at any time. Also refer to section 9.

6.1 Consent to Pre-study (ADT sample)

Collection of blood prior to ADT may be logistically challenging due to the indication to start ADT as soon as possible in men with polymetastatic disease. Explaining the PARADIGM study in detail on the day of diagnosis could over-burden patients with information and may not be feasible. Additionally, the treatment plan for the patient may not have been decided and it would therefore not be feasible to consent them to the Main Study. However, scientifically the pre-ADT sample is very valuable as it includes tumour DNA from sensitive clones that will rapidly regress after initiation of ADT.

To facilitate the collection of blood prior to starting anti-androgen or LHRHa, patients who have metastatic prostate cancer who are deemed to be potentially eligible for the study will be provided with a pre-study PIS and asked to consent to collect blood for research purposes. Once they have consented, the pre-ADT sample can be collected. The PIS will state that up to 70mls of blood will be collected, processed and stored for future research but not analysed until patients have consented to the main study.

At a later date, the patient will be provided with the main study PIS and they can then decide whether they agree to proceed onto the PARADIGM study. If they do not consent to the main study, they can choose whether to allow their pre-ADT sample to be used for translational research or request for it to be destroyed.

If patients wish to consent to the Main study at this point or the investigator believes that it is appropriate to do so then they will be provided with the PIS for the Main Study (see below).

6.2 Consent to Main Study

Patients who have previously consented on the Pre-study consent form can be approached for the main study at a later date, along with any other potential patients. The PI, or, where delegated by the PI, other appropriately trained site staff, will decide whether the patient will start docetaxel or abiraterone. There are two separate PIS depending on which treatment the patient will receive and the relevant current approved PIS should be discussed with the patient:

- PIS PARADIGM-A- for patients receiving abiraterone
- PIS PARADIGM-D- for patients receiving docetaxel

6.2.1 Consent to patient directed sample collection

Patients will be provided the opportunity to consent to having a more active role in the collection of their samples. It is the Sites' responsibility to assess a patient's understanding and ensure that they have capability to carry out this task. The patient may consent to this method but the Site could decide that the patient is not suitable. Further information on what is involved is discussed in section 8.4.1.

6.2.2 Consent for feedback of clinically-relevant genetic information

NGS performed in the translational research may identify molecular information of clinical significance. At consent patients will be specifically asked whether they accept clinically relevant information to be fed back to them. Only results which are of established clinical relevance and for which testing would be available under standard NHS genetic testing guidelines will be fed back e.g. germline pathogenic *BRCA1/2* mutations. Any genetic analysis undertaken does not replace clinically indicated investigations as it cannot be guaranteed that results will be fed back in a timely fashion and tests may not be clinically accredited.

The TMG will decide whether referral to a clinical geneticist is recommended. This is to facilitate access to genetic counselling and the required confirmatory testing, and also necessary in order to offer appropriate advice to biological relatives in the event of detection of a germline (inherited) genetic abnormality.

The TMG will review all detected germline variants detected and make the final decision of which are feedback to patients.

6.3 Consent to Whole Body Magnetic Resonance Imaging (WBMRI) (at selected centres only)

Patients who are eligible to receive a WBMRI can will be given the opportunity to consent to this at selected centres. The patient can be provided with the WBMRI PIS and consent form at the same time as the Main study PIS or at a later timepoint. For more information please see section 11.5.

7 REGISTRATION PROCEDURES

Patients can be registered to the study prior to starting ADT treatment or within 12 weeks of starting ADT. Patients cannot be registered after they have started treatment on docetaxel or abiraterone.

7.1 Registration to Pre-study (ADT Sample)

Sites will be provided with a log containing pre-study numbers for patients who have consented to the Pre-study consent form. Once consented, patients will be added to the log sequentially and a pre-study number provided. UCL CTC should then be emailed to confirm the pre-study patient ID and the date sample was taken.

7.2 Registration to Main Study

Patient registration to the Main study will be performed via a remote electronic data capture system hosted by UCL CTC. Please refer to the PARADIGM registration instructions prior to registering a patient. Patients must be confirmed to be eligible and have given consent prior to registration. Site staff responsible for patient registration must request access to the electronic case report forms (eCRF) database by completing their contact details on the site contacts form and delegation log. Access to the database and instructions are provided by UCL CTC. Note that patient initials are required to register a patient. Patients will be registered to either PARADIGM-A or PARADIGM- D depending on which treatment they will receive. Upon registration a study number will be assigned for the patient and these details appear on the registration confirmation screen. The study number must be recorded in the patient notes. Confirmation of successful registration will be sent to the person registering the patient.

Sites should contact UCL CTC if there are any difficulties in accessing the registration database. If the patient have consented to the patient directed sample collection UCL CTC will contact the site following registration to collect the patients name and telephone number. This will be stored securely on a restricted access password protected spreadsheet.

CONTACT DETAILS	
PARADIGM Trial Coordinator:	020 7679 9351

Once a patient has been registered onto the study they must be provided with the following:

- A copy of their signed consent form and PIS
- Patient samples diary
- Samples kit to include patient instruction, blood tubes, labels, and worksheets (For selected patients see section 8.4.1)

8 STUDY INTERVENTION

8.1 Treatment Summary

All patients will receive SOC treatment and there will be no randomisation. Treatment selection will be based on patient and physician choice, funding availability etc.

Toxicity, overdose, allergic reactions and concomitant medicine interactions will be managed according to local guidelines and do not need to be specifically reported to UCL CTC.

8.2 Standard of care ADT

If LHRH antagonists are used (with no prior anti-androgen), docetaxel or abiraterone should be started within 5 to 10 weeks. If LHRH agonists are used docetaxel or abiraterone should be started within 7 to 12 weeks or 5 to 10 weeks if anti-androgen has been used for a minimum of 2 weeks (+/- 3 days) previously. Single-agent anti-androgen is allowed for up to 3 weeks prior to LHRH agonists. Anti-androgen monotherapy is not permitted as a form of long-term ADT.

8.2.1 Standard of care docetaxel

Docetaxel will be given according to local protocols as a standard non-trial treatment.

8.2.2 Standard of care abiraterone

Abiraterone in combination with prednisolone will be given according to local protocols as a standard non-trial treatment. Recruitment to PARADIGM-A will be dependent on approval of NHS funding.

8.3 Prednisolone switch to dexamethasone

Some physicians may choose to continue abiraterone after failure but change prednisolone to dexamethasone. For the purposes of primary endpoint, the progression event on abiraterone will be defined when the definition is met, either on first-line or second-line glucocorticoid (prednisolone). (see section 3.2.1 for definition of primary endpoint).

8.4 Research blood sample collection

Blood samples for Research will be collected at the following timepoints for the following analyses. Blood samples can be taken up to 72 hours prior to pre-specified time points.

Timepoint	Samples to be taken
Pre-ADT ¹	Plasma (ptDNA), Whole blood (CTCs) and Whole blood (immunoprofiling), PAXGene RNA
Prior to Cycle 1 Day 1 ²	Plasma (ptDNA), Whole blood (CTCs) ³ and Whole blood (immunoprofiling) ³
Prior to Cycle 2 Day 1	Plasma only (ptDNA)
Prior to Cycle 3 day 1	Plasma (ptDNA), Whole blood (CTCs) ³ and Whole blood (immunoprofiling) ³
Prior to Cycle 5 day 1	Plasma only (ptDNA) and Whole blood (immunoprofiling) ³
Every 3 months	Plasma (ptDNA)
At progression	Plasma (ptDNA), and Whole blood (immunoprofiling) ³

¹Selected patients who consent on the pre-study consent form or consent to the main study prior to starting ADT.

²Abiraterone or docetaxel

³Only patients who had pre-ADT sample taken

Pre-ADT research blood samples will be collected from approximately 50 patients (the TMG will review this target on a regular basis and may reduce the number if it is thought that it was affecting accrual). A ptDNA sample taken at cycle 3 day 1 is required for the primary endpoint and any patients who do not have the cycle 3 day 1 taken will not be included in the target 130 patients. A pre-ADT samples is not required for primary endpoint analysis.

There are two methods of collecting blood samples for the study. The method chosen will be determined by each site and will take into consideration logistics such as facilities at site as well as the patient's profile (performance status, capability and social support). Eligible patients must be confirmed by a suitable investigator prior deciding the method of collecting samples.

All Patients included in the study will be encouraged to bring a Patient Sample Diary to clinic with a record of samples taken. Research teams will ensure the correct record of samples in clinic. There will be a dedicated PARADIGM Clinical Trials Practitioner (CTP) employed by UCL CTC to support sites and patients in the collection of research blood samples for the study.

(See section 8.6 for further information on research blood sample processing).

8.4.1 Patient-directed sample collection

For patients who are capable for an "active role" regarding sample collection and have been consented to this will receive a Patient Samples Box at the time of registration, which will include:

- Patient Samples Manual
- Samples Kit with blood tubes, labels, worksheets
- Sample Collection Diary

Once registered, the PARADIGM CTP will telephone the patient for a welcome call. Prior to each clinic visit, patients will receive a call from the CTP to remind them to bring blood tubes to Phlebotomy. Following collection, tubes will be stored at Phlebotomy. The research team will coordinate the samples for collection and will be sent on the same day via post or courier. To maximise efficiency, CTP will be in regular contact with each site to ensure the proper collection of samples.

8.4.2 Site directed sample collection

If the patient does not consent to the patient directed sample collection the Research Team will coordinate the collection of the Research Blood Samples. Samples Kit will be kept at site, and study samples will be taken directly by the research team or via Phlebotomy as agreed at site initiation. All samples will be sent on the same day via post or courier. All patients will receive a copy of a Sample Collection Diary.

8.5 Archival diagnostic block collection

All patients are asked to consent for the use of remaining tissue samples e.g. those obtained at prostate biopsy or following surgery, for use in translational research. These samples are usually stored as Formaldehyde Fixed-Paraffin Embedded (FFPE) tissue blocks at the hospital where the procedure was performed. Recruiting sites will be asked to retrieve tissue samples stored in pathology stores or referring hospitals and send to the UCL Cancer Institute.

Up to 15 sections will be cut and the tumour blocks will be returns to sites if required or when feasible.

8.6 Research blood sample processing

For detailed information on the preparation, storage and shipping of blood samples please refer to the PARADIGM Lab Manual.

8.6.1 Collection of ptDNA sample

Whole blood will be collected into 4 x 10mL plasma collection tubes per time point and handled according to the PARADIGM lab manual/patient samples manual. It is important that plasma collection tubes are repeatedly inverted 8-10 times after collection to ensure adequate mixing of additives. Once extracted, plasma will be stored at -80°C and thawed at the time of analysis. DNA will be extracted using validated protocols. Samples are to be shipped to:

Shipping address:

LAB 205, UCL Cancer Institute
Paul O'Gorman Building
72 Huntley Street
London WC1E 6DD

PtDNA samples will be analysed in batches by team members blinded to clinical outcome. For the primary and secondary endpoint analysis, analytically validated and “fixed” custom targeted next-generation assays performed in a GCLP environment will be used. Patients will be classified into ptDNA positive or negative based on a pre-defined threshold. For pre-ADT samples, the plasma tumour fraction DNA will also be reported.

8.6.2 Collection of CTC samples

Whole blood will be collected in 1 X10mL CTC tubes and gently inverted 8 to 10 times . Samples should be kept at room temperature and shipped on the same day of collection to Epic Sciences, Inc by courier.

Shipping address:

Covance Central Laboratory services SARL
KIT receipt- CENTERLINX Rue Moises- Marcinhes 7
Meyrin1217
Switzerland

8.6.3 Collection of Immunoprofiling samples

Whole blood will be collected in 10ml immunoprofiling tubes and 10ml PAXGene RNA tubes and shipped to:

Shipping address:

LAB 205, UCL Cancer Institute
Paul O'Gorman Building
72 Huntley Street
London WC1E 6DD

9 WITHDRAWAL OF PATIENTS

In consenting to the study, patients are consenting to assessments, collection of biological samples, follow-up and data collection.

9.1 Future Data Collection

If a patient explicitly states they do not wish to contribute further data to the study their decision must be respected, with the exception of essential safety data (see section 14.1.3), and recorded on the relevant CRF. In this event data due up to the date of withdrawal must be submitted but no further data, other than essential safety data, sent to UCL CTC.

9.2 Losses to Follow-Up

If a patient moves from the area, every effort should be made for the patient to be followed up at another participating trial site and for this new site to take over the responsibility for the patient, or for follow-up via GP. Details of participating trial sites can be obtained from the UCL CTC trial team, who must be informed of the transfer of care and follow up arrangements. If it is not possible to transfer to a participating site, the registering site remains responsible for submission of CRFs.

If a patient is lost to follow-up at a site every effort should be made to contact the patient's GP to obtain information on the patient's status.

9.3 Loss of Capacity

Patients who lose capacity during the study would continue in the study for the purposes of data collection only. The data would be sourced from the medical notes and no further contact would be made with the patient. If the patient regained capacity, an Investigator would discuss with the patient their continued participation in the study and together, the patient and Investigator would decide what action, if any, to take.

10 ASSESSMENTS & DATA COLLECTION

All assessments are considered SOC (except of WBMRI) and local guidelines should be followed. However, there are a minimum number of assessments required for patients to be confirmed as eligible for the study and in order to appropriately monitor for response and disease progression.

Please also see Schedule of Events table in Appendix 2.

10.1 Pre-registration

The following is required to evaluate the suitability of patients for the study and should be carried out as part of the patient's SOC:

- Histological confirmation of prostate adenocarcinoma
- Age
- ECOG Performance status (within 1 month of registration)
- Relevant medical history
- Review of prior treatment for prostate cancer
- Review and documentation of ongoing medication taken within 30 days of registration
- Start date of LHRH agonist or antagonist and of anti-androgens if used as cover
- Planned start date of docetaxel or abiraterone
- A whole-body technetium labelled bone scan
- CT scans of the chest, abdomen and pelvis are required to assess visceral disease performed prior to registration. Use of other imaging to assess visceral disease volume status is permitted after discussion with the UCL CTC
- Serum PSA prior to ADT

10.2 Assessments prior to starting ADT

Patients must consent on either the pre-study consent form or the Main study consent form before any samples can be taken

- 4x 10ml blood for ptDNA analysis
- 1 x 10 ml blood for immunoprofiling
- 1 x 2.5ml PAXGene mRNA
- 1 x10 ml blood for CTC analysis
- WB-MRI pre-ADT (only patients who have consented to the imaging translational sub-study and to be performed ideally within 4 weeks of starting ADT and before docetaxel/abiraterone). See section 11.5 for more details.

10.3 Assessments prior to starting abiraterone/docetaxel

The following assessments should be carried out before the patient starts treatment (~within 4 weeks) on either abiraterone or docetaxel and results will be recorded on the trial CRFs.

Cycle 1 day 1

- 4x 10ml blood for ptDNA analysis

-
- 1 x 10 ml blood for immunoprofiling (only patients who had pre-ADT sample taken)
 - 1 x10 ml blood for CTC analysis (only patients who had pre-ADT sample taken)
 - Serum PSA
 - Serum testosterone confirming castration and as close to collection of the Pre-abiraterone /docetaxel research blood sample as possible
 - Serum Lactate Dehydrogenase (LDH) if physicians considered relevant
 - Serum Alkaline phosphatase (ALP) if physicians considered relevant
 - Full Blood Count including differential of components
 - Serum creatinine
 - Height and weight

10.4 Assessments during first six cycles of treatment

During treatment with abiraterone/docetaxel the patient should be seen every cycle as per standard practice and the following assessments performed:

Cycle 2 day 1

- Serum PSA
- Serum LDH if physicians considered relevant
- Serum ALP if physicians considered relevant
- 4x 10ml blood for ptDNA analysis

Cycle 3 day 1

- Serum PSA
- Serum LDH if physicians considered relevant
- Serum ALP if physicians considered relevant
- 4x 10ml blood for ptDNA analysis
- 1 x 10 ml blood for immunoprofiling (only patients who had pre-ADT sample taken)
- 1 x10 ml blood for CTC analysis ((only patients who had pre-ADT sample taken)
- WBMRI (+/- 2 weeks from cycle 3 day 1 and only patients participating in imaging translational sub-study only)

Cycle 4 day 1

- Serum PSA
- Whole body technetium labelled bone scan or equivalent (see section 12.3.1)
- CT, chest, abdomen and pelvis or equivalent

Cycle 5 day 1

- Serum PSA
- Serum LDH if physicians considered relevant
- Serum ALP if physicians considered relevant

- 4x 10ml blood for ptDNA analysis
- 1 x 10 ml blood for immunoprofiling (only patients who had pre-ADT sample taken)

Cycle 6 day 1

- Serum PSA
- Serum LDH if physicians considered relevant
- Serum ALP if physicians considered relevant
- 4x 10ml blood for ptDNA analysis

10.5 Assessments after first six cycles of treatment and follow-up

After completion of six cycles of docetaxel or abiraterone, it is not proposed to routinely assess patients for response. However, in order that objective progression can be assessed, it is necessary to have imaging taken at time of best response as judged by the treating clinician and in accordance with SOC.

All patients should have baseline radiological examinations as detailed in Section 10.1. The same imaging should be used throughout for staging assessments. In addition, it is recommended all patients should have scans repeated at 24 weeks (and whenever clinically appropriate) if they were abnormal at baseline, particularly if they have a low PSA value on entry in to the study making biochemical assessment of treatment failure difficult.

After the completion Of 6 cycles

- Serum PSA
- Serum testosterone
- Serum LDH if physicians considered relevant
- Serum ALP if physicians considered relevant
- CT chest, abdomen and pelvis or equivalent
- Whole body technetium labelled bone scan or equivalent
- 4x 10ml blood for ptDNA analysis

Follow up (Sequentially 3-6 monthly)

- Serum PSA
- CT chest, abdomen and pelvis or equivalent
- Whole body technetium labelled bone scan or equivalent
- 4x 10ml blood for ptDNA analysis

10.6 Recording disease progression

Progression is defined as at least an increase of 20% in the sum of the longest diameter of target lesions, including a maximum of five target lesions, or the appearance of new lesions in keeping with Response Criteria for Solid Tumour assessment.

The following assessments should be carried out as part of SOC , where indicated to confirm progression

- Serum PSA

-
- A whole-body technetium labelled bone scan or equivalent
 - CT scans of the chest, abdomen and pelvis or equivalent
 -

In addition the following research samples should be taken:

- 4x 10ml blood for ptDNA analysis
- 1 x 10 ml blood for immunoprofiling (only patients who had pre-ADT sample taken)

The following outcomes should be reported on the Progression CRF:

- PSA nadir and PSA progression values.
- Local (primary tumour or pelvic lymph nodes) progression (symptomatic and confirmed radiologically).
- Unequivocal progression or development of new distant metastases.

10.7 Assessments after Disease Progression

Every 6 months (+/- 2 weeks) the following data will be collected:

- Review of start and end date of subsequent treatment for mCRPC.
- Survival data.

11 TRANSLATIONAL RESEARCH

11.1 Predictors of response to systemic treatment

Objective 1:

Molecular analyses of archival diagnostic tissue (mostly formalin fixed paraffin embedded) will be performed, including but not exclusive to low-pass whole genome NGS, targeted high-coverage NGS, whole genome expression array analysis and targeted methylation studies. Areas of tumour will be micro-dissected from 10-micron thick sections to enrich for cellularity. It is anticipated that tumour blocks will be retrieved and successfully sequenced from 70-80% of patients.

Genomic analyses on ptDNA will be performed and the gene-specific or molecular sub-type specific correlation with patient-matched tumour tissue will be evaluated. Based on this finding, a decision will be made (for every molecular question separately) whether to define patients by tissue alone or plasma alone or a combination of tissue and plasma. Pre-ADT plasma is targeted for collection from 30-40% of patients.

Correlations with outcome will be performed for molecular sub-groups defined in ongoing studies in the STRATOSPHERE collaboration.

Objective 2:

Plasma AR status at progression will be defined as in Section 11.3, objective 3. Molecular sub-classes will be described as in Objective 1 and whether patients harbouring a specific molecular signature are more likely to progress with AR gained versus AR normal disease will be assessed. These analyses will be performed only on patients from whom a progression samples. AR gain is expected to occur at a higher prevalence (~50%) in patients who progress within the follow-up timelines of the study.

11.2 Tracking of plasma DNA dynamics

Blood for plasma analysis will be collected sequentially on follow-up every 3 months or as clinically indicated. Sequential plasma samples will be subjected to targeted custom next-generation sequencing.

Objective 1:

To define progression by ptDNA criteria and ascertain whether this precedes progression as defined by the criteria utilised in the primary endpoint. The target is to collect ~60% of 3-monthly plasma samples from ~80% of patients. PtDNA progression is defined as:

1. For patients who remain positive on treatment, the date of progression is recorded as the C3D1.
2. For patients who become negative, a second ptDNA positive reading is required. The date of first detection of ptDNA is considered the day of progression.

Objective 2:

Plasma will be subjected to custom NGS that will define both tumour content and clonal and sub-clonal aberrations. Technical validation of NGS calls could be performed using an orthogonal approach such as droplet digital Polymerase chain reaction (PCR).

Objective 3:

AR status will be ascertained from NGS data and defined as the ratio of AR copies to control regions on chromosome X (including ZXDB). AR copy number normal is defined as a ratio <1.93 and gain is defined as 1.93 and greater. The patient will be categorised by the highest AR copy number value observed in progression samples.

PARADIGM protocol version 1 14/01/2019

11.3 CTC dynamics at initiation of ADT

Objective:

1. To evaluate whether CTC count pre-ADT and after starting ADT associates with shorter PFS and OS.
2. To identify CTC molecular features prior to and after starting ADT that associate with PFS or OS with abiraterone or docetaxel.

CTC from 10mls fresh blood (within 72 hours of blood draw) will be collected prior to ADT, prior to start of abiraterone or docetaxel and at C3D1 on abiraterone or docetaxel. Blood will be shipped to a central laboratory and captured onto slides by industry collaborators Epic Sciences using a proprietary technology and slides will be frozen. Slides will be analysed in batches prior to correlations with clinical outcome. CTC count will be reported as the number of cells per ml of blood and CTC will be characterised by feature classes, including but not exclusive to the Epic CTC Heterogeneity or Genome Instability signatures, identified in ongoing prostate cancer studies.

The primary analysis of correlations of CTC features with outcome will be performed by the UCL CTC and Epic Sciences will be blinded to clinical data. Clinical outcome data could be shared with Epic Science for secondary analyses.

11.4 Interrogation of peripheral immune changes secondary to initiation of ADT

Objective:

1. To determine changes in myeloid-derived suppressor cells (PMN-MDSC) (CD11b+CD33+CD15+ cells) and M-MDSCs and immune cells (NK cells, T-lymphocytes, CD4+ T-lymphocytes, CD8+ T-lymphocytes and B-lymphocytes) from peripheral blood leukocyte samples after exposure to ADT and subsequently ADT with abiraterone or docetaxel.
2. To determine dynamic changes in IL-23 and other cytokines in plasma after exposure to ADT and subsequently ADT with abiraterone or docetaxel.
3. To evaluate whether patients with rising PMN-MDSCs, M-MDSCs or cytokines post ADT and during ADT with abiraterone or docetaxel have a shorter PFS and rPFS and OS.
4. To correlate archival tumour tissue immune profile with peripheral blood.
5. To correlate peripheral immune changes pre and post ADT with genomic changes in circulation.
6. To determine TCR repertoire changes pre and post ADT and correlate this to PFS and OS.

Whole blood from will be collected in Ethylenediaminetetraacetic acid (EDTA) tubes prior to ADT, prior to start of docetaxel or abiraterone, at C3D1 and C5D1 of docetaxel or abiraterone and at progression. A sample of whole blood prior to ADT will also be collected in PAXgene mRNA tubes. Samples will be shipped centrally and processed for mRNA extraction, peripheral immune cell profiles and cytokine levels. Approximately 30-40% of patients are expected to donate pre-ADT and subsequent samples for these studies.

Peripheral blood will be immunophenotyped to define B-cells, T-cell populations NK, PMN-MDSC and M-MDSC. Phenotypic analyses of immune cell populations will be performed with fluorescently labelled antibodies to HLA-DR, CD45, CD15, CD33, CD14 and CD11b, CD3, CD4, CD8, CD19, CD20 and CD56. PMN-MDSCs will be defined as CD15+ HLA-DR low CD14-/++ and M-MDSCs as HLA-DR low CD14+ CD15- CD11b+ CD33+ . Plasma cytokines, including IL-23, will be tested using validated enzyme-linked immunosorbent assay (ELISA) assays. Next generation TCR beta sequencing will be performed on peripheral blood mononuclear cells. Whole-blood samples collected in PAXgene RNA tubes will be subjected to a 6 gene prostate cancer specific gene expression model that will consist of *ABL2*, *SEMA4D*, *ITGAL*, *C1QA*, *TIMP1*, *CDKN1A*.

Archival tumour tissue will be immunophenotyped and may include RNA sequencing for IL-23 and other cytokines. Additionally, multiplex immunofluorescence will be performed on FFPE sections. Correlations with peripheral blood analyses will be performed.

Plasma genomic changes will be identified using custom next-generation sequencing as planned in Section 11.3 on plasma collected at the same time as blood for immunoprofiling.

11.5 Whole body MRI derived imaging biomarkers as a surrogate of response (selected sites only)

Due to funding and logistical limitations, this translational sub-study will be conducted solely in UCLH and selected other sites. Patients who are eligible for WBMRI and who have consented to this will undergo two WBMRI examinations - baseline defined as prior to initiation of abiraterone or docetaxel (i.e. both PARADIGM-D and -A) and ideally no later than 4 weeks after commencing ADT and the second scan to coincide with primary end point pDNA assessment sample (e.g. with two weeks of C3D1). All WBMRIs will be performed on a 3T MR scanner and will be limited to maximum of around 60 minutes duration and acquisition will include for example (but not limited to) the following sequences:

- T2-weighted axial free-breathing turbo spin echo images from vertex to mid-thigh using multiple stacks
- Multi-echo Dixon axial breath-hold proton density fat fraction (PDFF) with multiple stacks covering vertex to mid-thigh
- Axial fat-suppressed free breathing echo planar imaging diffusion weighted MRI (with at least 2 b-values)

This will afford the evaluation of at least three QIB (apparent diffusion co-efficient, proton density fat fraction and T2* mapping) and allow the measurement of these at baseline, on treatment and the change between these at each identified disease site (measured by regions or volumes of interest on their respective parametric maps).

Response will be defined based on conventional morphological imaging assessment (e.g. Response Evaluation Criteria in Solid Tumours (RECIST) definitions and/or working group modifications thereof as appropriate) and informed by clinical, histopathological and SOC radiological follow-up as per usual pathways at the end of the study follow-up by consensus including, but not limited to, appropriate radiologist, oncologist and/or pathologist.

12 STATISTICS

The primary aim of this study is to determine whether the detection of ptDNA after two cycles of abiraterone (with prednisone) or docetaxel (with or without prednisone) added after start of ADT is associated with a worse clinical outcome in newly diagnosed polymetastatic prostate cancer. The clinical outcome measures used will be PFS and PCSS.

12.1 Calculation of target number of patients

We require 130 patients for our primary analysis but as we expect that around 20% of patients will not be evaluable for the primary endpoint (see section 12.2), we plan to recruit 170 patients. We intend to have approximately 65 patients recruited to PARADIGM-D and 65 patients to PARADIGM-A. The TMG will regularly review the proportion of recruited patients who will be eligible for primary analysis and may review the accrual target accordingly. The TMG will also review the allocation of patients to PARADIGM-D and PARADIGM-A and can change the target numbers based on drug funding access, local guidelines, changing landscape etc.

For PARADIGM-D, we assume a 50% PFS rate at 12 months in ptDNA positive patients and 80% PFS rate at 12 months in ptDNA negative patients; this represents a HR of 0.322 (comparing ptDNA negative PFS to ptDNA positive PFS). We also assume that 20% of patients recruited are expected to be ptDNA positive. To have at least 95% power to detect a HR of 0.322, we require 40 events (approximately 12 in ptDNA positive patients, 28 in ptDNA negative patients) to be observed in a total of 65 patients (with the aim of recruiting approximately 13 ptDNA positive and 52 ptDNA negative). This assumes a two-sided log-rank test with a type I error control of 10%, and accounts for an expected dropout rate of 5% per year for ptDNA positive patients and 5% per year for ptDNA negative patients.

For PARADIGM-A, we assume a 60% PFS rate at 12 months in ptDNA positive patients and 85% PFS rate at 12 months in ptDNA negative patients; this represents a HR of 0.322. Again, we assume that 20% of patients are expected to be ptDNA positive. To have at least 90% power to detect a HR of 0.322, we require 33 events (11 in ptDNA positive patients, 22 in ptDNA negative patients) to be observed in 65 patients (with the aim of recruiting 13 ptDNA positive and 52 ptDNA negative). This assumes a two-sided log-rank test with a type I error control of 10%, and accounts for an expected dropout rate of 5% per year for ptDNA positive patients and 5% per year for ptDNA negative patients. All sample size calculations were performed using nQuery Advanced (version 8.1.2.0). We assume recruitment of all 65 patients is conducted over 18 months and that the maximum possible follow-up period (time from first patient recruited to end of study) is 54 months.

PARADIGM-D and PARADIGM-A could be reported separately as they have been powered as stand-alone cohorts. The target number of events may be achieved earlier in PARADIGM-D due to i) the shorter time to PFS given the inclusion of PSA progression and previous studies reporting this is shorter than for AAP REF ii) delay to accrual to PARADIGM-A due to funding restrictions. This could lead to PARADIGM-D being reported first.

12.2 Definition of criteria required for a patient to be included in the primary endpoint analysis

As the study primary endpoint is defined by the association of ptDNA at C3D1 with outcome, only patients who meet the following criteria will be evaluable for the primary endpoint analysis:

- Received ADT followed by docetaxel or abiraterone as defined in Section 8.1.1 “SOC ADT”. Up to one-week delay of abiraterone or docetaxel is permitted.
- Received a minimum of two cycles of docetaxel or abiraterone within 8 weeks from start of treatment.
- Plasma sample collected at Cycle 3 Day 1.

- No radiotherapy, surgery or prostate intervention after consent until C3D1. Patients who have an intervention after C3D1 but prior to C5D1 will be included in the primary analysis but dropped from comparisons between C5D1 and C3D1 values.

Any patients who do not fulfil the above criteria can be withdrawn from study follow-up and sequential blood collection. Their samples will be retained and can be used for translational research.

12.3 Primary endpoint: analysis and detailed definition

The main endpoint is PFS as defined in Section 3.2.1.

Analysis will be performed on an Intention To Treat (ITT) basis. An estimate of the HR for PFS will be computed using Cox Regression, providing the assumption of proportional hazards is satisfied. As a sensitivity analysis, we will also perform an adjusted Cox regression where site will be included as a covariate in the model to assess if including study site materially affects the HR estimate. Comparison of PFS between ptDNA positive and ptDNA negative patients will be performed using a log-rank test (separate analyses per treatment arm). Kaplan-Meier (KM) curves will be plotted for each treatment arm to show PFS for ptDNA positive and ptDNA negative patients.

Detailed criteria for the primary endpoint definition are explained below:

12.3.1 Symptomatic or asymptomatic new or unequivocal progression of prior distant metastases confirmed by imaging

To assess objective progression, imaging is required in addition to clinical progression. Metastases are of two types:

Measurable lesions. These can be accurately measured in at least one dimension and the longest diameter is used to calculate progression compared to the smallest lesion detected on scans at best response. The imaging used is at the discretion of the investigator but the same technique should be used throughout. The investigator should be certain that the lesions are prostate cancer metastases. Progression is defined as at least an increase of 20% in the sum of the longest diameter of target lesions, including a maximum of five target lesions, or the appearance of new lesions in keeping with Response Criteria for Solid Tumour assessment.

Non-measurable lesions. All other lesions are included as non-measurable. Progression is defined as the appearance of one or more new soft tissue lesions or two or more lesions on bone scan and/or the unequivocal progression of existing non-target lesions. In PARADIGM-A, when two or more new bone lesions are detected on scans performed at or before C5D1, a second scan is required after at least 6 weeks to exclude this is secondary to a flare phenomenon.

For the purpose of PARADIGM, progression in fewer than 3 metastases and amenable to and treated by radiotherapy does not constitute a progression event.

12.3.2 Symptomatic progression of cancer in the prostate confirmed by imaging

Local disease progression in the pelvis accompanied by symptoms and confirmed radiologically will constitute a progression event. The date of scans should be used to denote the date of progression. Pelvic progression in the absence of symptoms does not constitute progression.

12.3.3 Serum PSA progression

In keeping with clinical practice, serum PSA progression does not constitute a progression event in PARADIGM-A but does in PARADIGM-D. Serum PSA progression is defined as an increase in PSA to more than 50% of nadir taking as reference the lowest recorded PSA level since starting ADT.

The PSA progression value is calculated in one of three ways:

- A. If the lowest recorded PSA value in the 24 weeks following randomisation is more than 4ng/ml and more than 50% of the pre-treatment PSA level then the patient fulfils the criteria for immediate treatment failure with progression defined as the date of nadir.
- B. For patients whose PSA nadir in the 24 weeks following randomisation is less than or equal to 50% of the pre-treatment PSA level but remains above 4ng/ml, biochemical failure will be defined as a rise of 50% above the nadir level.
- C. For patient whose PSA nadir is less than or equal to 4ng/ml, biochemical failure is defined as at least a 50% rise above the nadir value that is also above 4ng/ml.

For B and C, a second, confirmatory PSA value should be obtained between one week and 3 months later. The timing of assessments needs to be considered because spurious rises in PSA can occur e.g. following procedures involving the urinary tract. PSA failure is confirmed if the second value is around the same level or higher i.e. the trend is confirmed. The date of PSA progression should be provided as the date of the **first** raised PSA that fulfilled the study definition of progression. A confirmatory PSA is not required if there is associated radiological progression as defined in section 12.7.1.

Second-line treatment commenced specifically for a PSA rise should not start until the study definition for serum PSA progression has been met. However, if second line treatment does start before the study definition is met then report the closest PSA value prior to the treatment start date as the progression value. This is not required if second line treatment is being started for radiological signs of progression.

12.3.4 Prostate cancer specific death

Death attributed by investigators as probably related to prostate cancer progression and not related to toxic death or death from any other cause.

12.4 Secondary endpoints: detailed definition and analyses

The secondary endpoints of interest are:

- PCSS defined as time from start of abiraterone or docetaxel with ADT to death from prostate cancer.
- OS defined as time from start of abiraterone or docetaxel with ADT to death from any cause.

Patients recruited to both treatment arms could be combined and an estimate of the HR for PCSS and OS by ptDNA status will be calculated.

With reference to the secondary objectives, secondary analyses will be conducted on each survival outcome (PFS, PCSS and OS) as follows:

1. ptDNA classification at C2D1 and C5D1 with C3D1: the number of patients in each treatment arm who are deemed ptDNA positive at C2D1, C3D1 and C5D1 will be presented in tables. Comparisons to the number at C3D1 will be performed using Fisher's exact tests.
2. To determine whether the detection of ptDNA after four to twelve weeks of ADT and prior to starting abiraterone or docetaxel (timepoint "pre-") associates with a worse survival outcome: Number of patients who are ptDNA positive and negative at this timepoint will be presented. KM estimates for each ptDNA status at this timepoint will be computed. Comparison between groups will be performed using a log-rank test.
3. To assess the association between each survival outcome and PSA level (<0.2, 0.2-4, >4ng/dl) at C2D1, C3D1 and C5D1 and at 7 months after start of ADT, KM estimates of PFS/PCSS/OS rates at the specified timepoints will be calculated. PFS/PCSS/OS rates per PSA level will be compared using Cox regression up to and including the timepoint of interest (assessing HR of PSA levels).
4. To assess whether ptDNA detection is better for predicting each survival outcome at PSA level after four to twelve weeks of starting ADT and prior to starting abiraterone or docetaxel and at C2D1, C3D1 and C5D1. Differences in time between PSA rise and ptDNA detection in progressors and non-progressors will be assessed (distribution and summary statistics).

5. To compare associations with each survival outcome for the change in ptDNA detection prior to start of abiraterone or docetaxel (timepoint “pre-”) with ptDNA detection at C3D1, the number of patients who belong to each of the following four groups will be presented; (+ve pre-, +ve on treatment), (+ve pre-, -ve on treatment), (-ve pre-, +ve on treatment), (-ve pre-, -ve on treatment). PFS/PCSS/OS status will be evaluated at C3D1 compared across the four groups (use Cox regression to obtain hazard ratios against a reference group of interest).
6. To evaluate whether ptDNA fraction prior to ADT associates with worse survival outcomes, continuous measurement of ptDNA taken prior to ADT will be assessed (histogram and summary statistics). The association with each survival outcome will be done using time-to-event analyses (accounts for censoring of observations).

Analyses with PFS will be performed separately for each treatment cohort and we could consider pooling the cohorts for secondary analyses if the magnitude of effect in both cohorts appears consistent. Analyses with PCSS and OS will be performed with both arms pooled together and also separately for each treatment arm. In pooled analyses, we may adjust for treatment assignment by including a treatment covariate in Cox regression models.

12.5 Exploratory endpoint

To develop and test a composite model incorporating different biomarkers for assessing response: this exploratory analysis will use regression methods, with response category/survival status as outcome variables and biomarkers as covariates.

12.6 Statistical plan for Translational Research

Translational research will be performed on sub-sets of patients from whom the required evaluations are made. It is expected that not all patients will be able to participate in all the translational studies but as a fundamental aspect of PARADIGM, as many patients as possible should be included in these assessments.

12.6.1 Predictors of response to systemic treatment

Objective 1

To identify molecular signatures in plasma and tumour that associate with PFS or OS with abiraterone or docetaxel: KM estimates of survival outcomes across different molecular signature subgroups will be calculated and plotted for comparison. Pairwise comparisons to a reference subgroup category via log-rank tests may be performed to estimate the effect of different subgroups on survival outcomes.

Objective 2

To identify a molecular signature in pre-ADT plasma or tumour that associates with plasma *AR* aberrant status at progression to castration-resistant disease: distribution of *AR* gain and *AR* normal statuses across molecular subgroups will be presented to assess which subgroups account for at least 90% of the *AR* gain study population.

12.6.2 Tracking of plasma DNA dynamics

Objective 1

To determine whether detection of ptDNA precedes clinical, biochemical or radiological progression: amongst men who have confirmed clinical, biochemical or radiological progression, time between ptDNA detection and confirmation of progression will be calculated. Data may be left-censored (i.e. date of recorded ptDNA detection is an upper-bound for when it reached a detectable level, due to timing of visits/scans). Similarly, we may not detect ptDNA at the time of progression. Using appropriate survival analysis methods to account for this potential missingness, we will estimate the expected time between ptDNA detection and progression confirmation and test to see if this is significantly different from 0.

Objective 2

Genomic changes in sequential plasma samples will be reported for each patient separately although changes recurrent across patients could be grouped. A progressive rise in the abundance of an aberration supports its association with progression. The probability of falsely detecting a change that associates with resistance is reduced when detected in >1 sequential samples, improving the power for testing of multiple genes to identify ones that temporally associate with resistance.

Objective 3

To evaluate whether patients who progress with *AR* gain at the development of mCRPC have a shorter time to PFS (on ADT) and OS: Log-rank test effect of *AR* status on survival outcomes will be performed.

12.6.3 CTC dynamics at initiation of ADT

Objective 1

To evaluate whether CTC count pre-ADT and after starting ADT associates with shorter PFS and OS: Cox regression with survival outcome and CTC count as a time-varying covariate will be performed to assess possible associations.

Objective 2

To identify CTC molecular features prior to and after starting ADT that associate with PFS or OS with abiraterone or docetaxel. KM estimates of survival outcomes across subgroups defined by CTC molecular features will be calculated and plotted for descriptive comparison.

12.6.4 Interrogation of peripheral immune changes secondary to initiation of ADT

To determine changes in myeloid-derived suppressor cells, immune cells and cytokines from peripheral blood leukocyte samples after exposure to ADT and subsequently ADT with abiraterone or docetaxel. Mean differences in cell counts between ADT exposure and ADT with abiraterone or docetaxel will be calculated and summarised, complete with 95% confidence intervals. T-test/sign tests of differences from pre-study therapy (after ADT but before abiraterone or docetaxel) will be conducted.

12.6.5 Novel imaging as a surrogate of response

Differences in baseline and on treatment QIBs and proportional changes between the two may be compared between response groups e.g. with Mann Whitney U test and pairwise QIB changes between these could be compared with Wilcoxon signed rank test. As part of an 'all lesion' QIB analysis- accounting for multiple samples per patient will be required e.g. using linear mixed method modelling using response as the fixed factor and patient as the random factor.

13 DATA MANAGEMENT AND DATA HANDLING GUIDELINES

13.1 Entering data into the eCRF

The eCRF must be completed by staff who are listed on the site staff delegation log and authorized by the PI to perform this duty. Each authorized staff member will have their own unique login details for the eCRF. They must never be shared among staff as the eCRF audit trail will record all entries/changes made by each user. The PI is responsible for the accuracy of all data reported in the eCRF.

The use of abbreviations and acronyms must be avoided.

13.2 Corrections to eCRF Forms

Corrections can be made to data on the eCRF where necessary, the eCRF audit trail will record the original data, the change made, the user making the change and the date and time.

13.3 Missing Data

To avoid the need for unnecessary data queries, fields should not be left blank on the eCRF. If data is unavailable, please refer to the eCRF user guide for information on how to indicate that data is “Not Done”, “Not Applicable”, “Not Available” or “Not Known” (only use if every effort has been made to obtain the data).

13.4 Timelines for Data Entry

The relevant eCRF forms must be completed as soon as possible after a patient’s visit.

Sites who persistently do not enter data within the required timelines may be suspended from recruiting further patients into the study by UCL CTC and subjected to a ‘for cause’ monitoring visit. See section 16.2 for details.

13.5 Data Queries

Data entered onto the eCRF will be subject to some basic checks at the time of entry, and any discrepancies will be flagged to the user in the form of a warning. The data can be corrected immediately, or where this is not possible, the warning can be saved and the data amended at a later stage.

Further data review will be carried out at UCL CTC and queries raised where necessary. Further guidance on the process for handling data queries can be found in the eCRF user guide.

14 SAFETY REPORTING

14.1 Definitions

The following definitions have been adapted from Directive 2001/20/EC, ICH E2A “Clinical Safety Data Management: Definitions and Standards for Expedited Reporting” and International Conference of Harmonisation-Good Clinical Practice (ICH GCP E6).

This is a low-risk prospective observational cohort study.

14.1.1 Adverse Reactions (AR)

All untoward and unintended events related to a ‘Study Procedure’; where a causal relationship between a ‘Study Procedure’ and an event is at least a reasonable possibility, i.e. the relationship cannot be ruled out.

14.1.2 Related & Unexpected SARs

A serious adverse reaction, the nature or severity of which is not consistent with the applicable Study Procedure.

Study Procedure means the blood sampling procedure for the purposes of obtaining research samples within the study.

14.1.3 Serious Adverse Reactions (SAR)

SARs are not anticipated in this study however should they occur they will be reported immediately to the UCL CTC and documented on the study database.

SARs are adverse reactions that meet any of the following criteria:

- Results in death.
- Is life-threatening (the term “life-threatening” refers to an event in which the patient was at risk of death at the time of the event. It does not refer to an event that hypothetically might have caused death if it were more severe).
- Requires inpatient hospitalisation or prolongs existing hospitalisation.
- Results in persistent or significant disability/incapacity.
- Is otherwise medically significant (e.g. important medical events that may not be immediately life-threatening or result in death or hospitalisation but may jeopardise the patient or may require intervention to prevent one of the other outcomes listed above).

14.2 Reporting of Serious Adverse Reactions (SARs)

As this is an observational cohort study where patients follow their normal clinical pathways, and the study introduces a procedural intervention (blood sampling) the PI, or other delegated site investigator should monitor each participant at each visit and only report to UCL CTC events that are serious and related (i.e. a SAR) to the study procedure.

All SARs that occur between the between the start of the first study procedure and 7 days post the last study procedure must be submitted electronically within **24 hours** of observing or notification/occurrence of the event, using the study specific SAR Report.

All sections on the SAR Report must be completed. If the SAR report is **not sent within 24 hours to UCL CTC**, the circumstances that led to this must be detailed in the SAR Report to avoid unnecessary queries.

Causality

The PI, or other delegated site investigator, must perform an evaluation of causality for each event.

- Related (reasonable possibility) to a study procedure.
- Not related (no reasonable possibility) to a study procedure.

Severity

Severity of each event must be determined by using the Common Terminology Criteria for Adverse Events (CTCAE) v5 as a guideline, wherever possible. The criteria are available online at:

https://ctep.cancer.gov/protocoldevelopment/electronic_applications/docs/CTCAE_v5_Quick_Reference_5x7.pdf

Completed SAR Reports must be entered on to the electronic database within 24 hours of becoming aware of the event

[Back-up option: Fax number 020 7679 9871]

SAR FOLLOW-UP REPORTS

All SARs must be followed-up until resolution and until there are no further queries.

Sites must ensure any new and relevant information is provided promptly. If the reaction term changes or a new reaction is added, the causality must be re-assessed by an Investigator.

SAR PROCESSING AT UCL CTC

On receipt of the SAR Report, UCL CTC will check for legibility, completeness, accuracy and consistency. There are no expected serious adverse reactions for the study, therefore all SARs will be considered Related and Unexpected Serious Adverse Reactions.

The CI, or their delegate (e.g. a clinical member of the SMG), may be contacted to review the SAR and to perform an evaluation of causality on behalf of UCL CTC.

Related and Unexpected Serious Adverse Reaction

If the event is evaluated as a related and unexpected Serious Adverse Reaction, UCL CTC will submit a report to the REC within the required timeline.

Wherever possible, evaluations of causal relationship by both the site and the Sponsor's clinical reviewer will be reported.

15 INCIDENT REPORTING AND SERIOUS BREACHES

15.1 Incident Reporting

Organisations must notify UCL CTC of all deviations from the protocol or GCP immediately. An incident report may be requested and will be provided, but an equivalent document (e.g. Trust Incident form) is acceptable where already completed.

If site staff are unsure whether a certain occurrence constitutes a deviation from the protocol or GCP, the UCL CTC trial team can be contacted immediately to discuss.

UCL CTC will use an organisation's history of non-compliance to make decisions on future collaborations.

UCL CTC will assess all incidents to see if they meet the definition of a serious breach.

15.2 Serious Breaches

A "serious breach" is defined as a breach of the protocol or of the conditions or principles of Good Clinical Practice (or equivalent standards for conduct of non-CTIMPs) which is likely to affect to a significant degree the safety or physical or mental integrity of the trial subjects, or the scientific value of the research.

Systematic or persistent non-compliance by a site with the principles of GCP and/or the protocol, occurring on study within the specified timeframe, may be deemed a serious breach.

In cases where a serious breach has been identified, UCL CTC will inform the REC within 7 calendar days of becoming aware of the breach.

16 STUDY MONITORING AND OVERSIGHT

Participating sites and PIs must agree to allow study-related on-site monitoring, Sponsor audits and regulatory inspections by providing direct access to source data/documents as required. Patients are informed of this in the PIS and are asked to consent to their medical notes being reviewed by appropriate individuals on the consent form.

UCL CTC will determine the appropriate level and nature of monitoring required for the study. Risk will be assessed on an ongoing basis and adjustments made accordingly.

16.1 Central Monitoring

Sites will be requested to submit Study specific logs and staff delegation logs to UCL CTC at the frequency detailed in the study monitoring plan, or on request, and these will be checked for consistency and completeness. Also refer to section 4.4.2(Required documentation).

Data received at UCL CTC will be subject to review in accordance with the data queries section, 13.5.

Sites will be requested to conduct quality control checks of documentation held within the Investigator Site File at the frequency detailed in the study monitoring plan. Checklists detailing the current version/date of version-controlled documents will be provided for this purpose.

Where central monitoring of data and/or documentation submitted by sites indicates that a patient may have been placed at risk the matter will be raised urgently with site staff and escalated as appropriate (refer to section 16 (Incident Reporting and Serious Breaches

16.2 'For Cause' On-Site Monitoring

On-site monitoring visits may be scheduled where there is evidence or suspicion of non-compliance at a site with important aspect(s) of the study protocol/GCP requirements. Sites will be sent a letter in advance outlining the reason(s) for the visit and confirming when it will take place. The letter will include a list of the documents that are to be reviewed, interviews that will be conducted, planned inspections of the facilities and who will be performing the visit.

Following a monitoring visit, the Trial Monitor/Trial Coordinator will provide a follow up email to the site, which will summarise the documents reviewed and a statement of findings, incidents, deficiencies, conclusions, actions taken and/or actions required. The PI at each site will be responsible for ensuring that monitoring findings are addressed in a timely manner, and by the deadline specified.

UCL CTC will assess whether it is appropriate for the site to continue participation in the study and whether the incident(s) constitute a serious breach. Refer to section 15 (Incident Reporting and Serious Breaches

16.3 Oversight Committees

16.3.1 Trial Management Group (TMG)

The TMG will include the Chief Investigator, clinicians and experts from relevant specialties and PARADIGM trial staff from UCL CTC (see page 2). The TMG will be responsible for overseeing the study. The group will meet regularly (approximately 2-3 times a year) and will send updates to PIs (via newsletters or at Investigator meetings) and to the National Cancer Research Institute (NCRI) Prostate Cancer name Clinical Studies Group.

The TMG will review substantial amendments to the protocol prior to submission to the REC . All PIs will be kept informed of substantial amendments through their nominated responsible individual and are responsible for their prompt implementation.

All TMG members will be required to sign the PARADIGM TMG charter and to declare all potential conflicts of interest.

Full responsibilities of the TMG will be detailed in the TMG charter and will include:

- Oversee and take responsibility for the conduct of the study according to the study protocol.
- Review number of patients with pre-ADT samples.
- Review proportion of recruited patients who are eligible for primary analysis.
- Review of number of patients allocated to PARADIGM-D versus PARADIGM-A.
- Feedback of clinically relevant germline and other molecular variants.

16.3.2 Translational Research Committee

The role of the TRC will be to oversee the analysis of plasma DNA for the primary endpoint and the translational sub-studies. The committee will include members with specific expertise related to this work and will report to the TMG with results that are of interest.

All members will be required to sign a charter and declare all potential conflicts of interest.

16.3.3 Trial Steering Committee (TSC)

The role of the TSC is to provide overall supervision of the study. The TSC will review the recommendations of the Independent Data Monitoring Committee and, on consideration of this information, recommend any appropriate amendments/actions for the study as necessary. The TSC acts on behalf of the funders and the Sponsor.

All TSC will be required to sign the TSC charter and to declare all potential conflicts of interest.

16.3.4 Role of UCL CTC

UCL CTC will be responsible for the day to day coordination and management of the study and will act as custodian of the data generated in the study (on behalf of UCL). UCL CTC is responsible for all duties relating to safety reporting (which are conducted in accordance with section 14 (Safety Reporting)).

17 STUDY CLOSURE

17.1 End of Trial

For regulatory purposes the end of the trial will be 5 years after the first patient has been registered, or once all patients have died, whichever is sooner. At this point the 'declaration of end of trial' form will be submitted to and Ethics Committee, as required.

Following this, UCL CTC will advise sites on the procedure for closing the study at the site.

Once the end of trial has been declared, no more prospective patient data will be collected but sites must co-operate with any data queries regarding existing data to allow for analysis and publication of results.

17.2 Archiving of Trial Documentation

At the end of the trial, UCL CTC will archive securely all centrally held study related documentation for a minimum of 5 years. Arrangements for confidential destruction will then be made. It is the responsibility of PIs to ensure data and all essential documents relating to the study held at site are retained securely for a minimum of 5 years after the end of the trial, and in accordance with national legislation.

Essential documents are those which enable both the conduct of the study and the quality of the data produced to be evaluated and show whether the site complied with the principles of GCP and all applicable regulatory requirements.

UCL CTC will notify sites when study documentation held at sites may be archived. All archived documents must continue to be available for inspection by appropriate authorities upon request.

17.3 Early Discontinuation of Trial

The trial may be stopped before completion on the recommendation of the TSC (see section 16.3.3 Trial Steering Committee (TSC)). Sites will be informed in writing by UCL CTC of reasons for early closure and the actions to be taken with regards the treatment and follow up of patients.

17.4 Withdrawal from Trial Participation by a Site

Should a site choose to close to recruitment the PI must inform UCL CTC in writing. Follow up as per protocol must continue for any patients recruited into the trial at that site and other responsibilities continue as per the CTSA.

18 ETHICAL CONSIDERATIONS

In conducting the study, the Sponsor, UCL CTC and sites shall comply with all relevant guidance, laws and statutes, as amended from time to time, applicable to the performance of clinical trials including, but not limited to:

- The principles of Good Clinical Practice
- Human Rights Act 1998
- Data Protection Act 2018 , and General Data Protection Regulation (EU)2016/679 (GDPR)
- Freedom of Information Act 2000
- Mental Capacity Act 2005
- UK Policy Framework for Health and Social Care Research, issued by the Health Research Authority

18.1 Ethical Approval

The trial will be conducted in accordance with the World Medical Association Declaration of Helsinki entitled 'Ethical Principles for Medical Research Involving Human Subjects' (1996 version) and in accordance with the terms and conditions of the ethical approval given to the trial.

The trial has received a favorable opinion from the London-Brighton and Sussex Research Ethics Committee (REC) and Health Research Authority (HRA) approval for conduct in the UK.

UCL CTC will submit Annual Progress Reports to the REC, commencing one year from the date of ethical approval for the trial.

18.2 Site Approvals

Evidence of assessment of capability and capacity by the Trust/Health Board R&D for a trial site must be provided to UCL CTC. Sites will only be activated when all necessary local approvals for the trial have been obtained.

18.3 Protocol Amendments

UCL CTC will be responsible for gaining ethical for amendments made to the protocol and other study-related documents. Once approved, UCL CTC will ensure that all amended documents are distributed to sites as appropriate.

Site staff will be responsible for acknowledging receipt of documents and for implementing all amendments promptly.

18.4 Patient Confidentiality & Data Protection

Patient identifiable data, including full name/initials, , and telephone number will be collected by UCL CTC. UCL CTC will preserve patient confidentiality and will not disclose or reproduce any information by which patients could be identified.

Data will be stored in a secure manner and UCL CTC trials are registered in accordance with the Data Protection Act 2018 and GDPR, with the Data Protection Officer at UCL

Patient identifiable data, including initials will be provided to the UCL Cancer Institute and EPIC Sciences, Inc. in order to process the samples. Both Laboratories will preserve patient confidentiality and will not disclose or reproduce any information by which patients could be identified.

19 SPONSORSHIP AND INDEMNITY

19.1 Sponsor Details

Sponsor Name: UCL

Address: Joint Research Office
Gower Street
London
WC1E 6BT

Contact: Director of Research Support

Tel: 020 3447 9995/2178 (unit admin)

Fax: 020 3447 9937

19.2 Indemnity

UCL holds insurance against claims from participants for injury caused by their participation in the clinical trial/study. Participants may be able to claim compensation if they can prove that UCL has been negligent. However, as this clinical trial/study is being carried out in a hospital, the hospital continues to have a duty of care to the participant of the clinical trial/study. UCL does not accept liability for any breach in the hospital's duty of care, or any negligence on the part of hospital employees. This applies whether the hospital is an NHS Trust or otherwise.

Participants may also be able to claim compensation for injury caused by participation in this clinical trial/study without the need to prove negligence on the part of UCL or another party. Participants who sustain injury and wish to make claim for compensation should be advised to do so in writing in the first instance to the Chief Investigator, who will pass the claim to the Sponsor's Insurers, via the Sponsor's office."

Hospitals selected to participate in this clinical trial/study shall provide clinical negligence insurance cover for harm caused by their employees and a copy of the relevant insurance policy or summary shall be provided to University College London, upon request."

20 PUBLICATION POLICY

All publications and presentations relating to the study should be authorised by the TMG. The TMG will form the basis of the writing committee and advise on the nature of the publications. All collaborators who have actively contributed to the study will be named authors on all main study papers and anyone else who has had a significant input into the conduct, analysis and interpretation of the study.

Specialist papers focusing on a particular aspect of translational research may not require all collaborators to be authors. Data from all sites will be analysed together and published as soon as possible after the primary endpoint has been reached. Participating sites may not publish study results prior to the first publication by the TMG or without prior written consent from the TMG.

The Chief Investigator will make the final decision on authorship. The study data is owned by the Sponsor. The ClinicalTrials.gov number should be quoted in any publications resulting from this study.

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APPENDIX 1: ABBREVIATIONS

AAP	Abiraterone acetate with prednisolone/prednisone
ALP	Alkaline Phosphatase
AR	Androgen receptor
ADT	Androgen Deprivation Therapy
BP	Base pairs
CRF	Case Report Form
CEA	Carcinoembryonic antigen
CRPC	Castrate resistant prostate cancer
ctDNA	Circulating tumour DNA
CTC	Circulating Tumour Cells
CTP	Clinical Trial Practitioner
CT	Computerised Tomography
CTCAE	Common Terminology Criteria for Adverse Events
CN	Copy Number
CXCR2	CXC chemokine receptor 2
Ctla-4	Cytotoxic T lymphocyte antigen-4
Doc	Docetaxel with or without prednisolone/prednisone
DFS	Disease Free Survival
DPA	Data Protection Act
ECOG	Eastern Cooperative Oncology Group
EDTA	Ethylenediaminetetraacetic acid
eCRF	Electronic case report forms
ELISA	Enzyme-linked immunosorbent assay
EPCAM	Epithelial Cell Adhesion Molecule
ESMO	European Society of Medical oncology
FFPE	Formaldehyde Fixed-Paraffin Embedded
GCP	Good clinical practice

GDPR	General Data Protection Regulation
GNRH	Gonadotropin-releasing hormone
HR	Hazard ratio
HRA	Health Research Authority
ICH GCP	International Conference of Harmonisation-Good Clinical Practice
IQR	Interquartile range
ISF	Investigator site file
LDH	Lactate Dehydrogenase
LH	Luteinizing hormone
LHRHa	Luteinizing hormone-releasing hormone agonist/ antagonist
mCRPC	Metastatic castration-resistant prostate cancer
MDSCs	Myeloid-Derived Suppressor Cells
M-MDSC	Monocytic myeloid-derived suppressor cells
mNCA	Model clinical trial agreement
MRD	Minimal residual disease
NK	Natural Killer
NGS	Next generation Sequencing
OS	Overall Survival
PET	Positron emission tomography
PFS	Progression Free Survival
PI	Principal Investigator
PIS	Patient information sheet
REC	Research Ethics Committee
RECIST	Response Evaluation Criteria in Solid Tumours
rPFS	Radiographic Progression free survival
SAR	Serious Adverse Reaction
SOC	Standard of care
SNVS	Single nucleotide variants

TAMs	Tumour associated macrophages
TCR	T cell receptor
TMF	Trial Master File
UCL CTC	CR UK and UCL Cancer Trials Centre
WB-DW-MRI	Whole body diffusion weighted magnetic resonance imaging
WBMRI	Whole body Magnetic Resonance Image

APPENDIX 2: SCHEDULE OF ASSESSMENTS

Assessment	Registration to main study	Pre- ADT	C1D1	C2 D1	C3D1	C4D1	C5D1	C6D1	At completion of 6 cycles	Every 3-6 months	At disease progression	After Disease Progression
Standard of Care Assessments												
Informed consent	X											
CT chest, abdomen and pelvis ¹	X					X ¹¹			X	X ¹²	X	
Whole body technetium labelled bone scan ¹	X					X ¹¹			X	X ¹²	X	
Histological confirmation of prostate carcinoma	X											
Relevant medical history	X											
Review ongoing medication ²	X											
ECOG Performance Score ²	X											
Serum PSA	X ³		X	X	X	X	X	X	X	X	X	
Serum testosterone			X ¹⁰						X			
Serum LDH (if physicians considers relevant)			X		X		X	X	X			
Serum ALP (if physicians considers relevant)			X		X		X	X	X			
Full blood count, including differential			X									
Serum creatinine			X									
Height and weight			X									
Survival follow-up												X
PARADIGM-Specific Assessments												
Plasma (ptDNA) 4 x 10 ml ⁴		X ⁶	X	X	X		X	X	X	X	X ¹³	
Whole blood (immunoprofiling) 1 x 10 ml immunoprofiling tubes ⁴		X ⁶	X ⁹		X ⁹		X ⁹				X ¹³	
Whole Blood (CTCs) 1 x 10 ml ⁴		X ⁶	X ⁹		X ⁹							
PAXGene RNA 1 x 2.5 ml ⁴		X ⁶										
WB-MRI ⁸		X ^{7,8}			X ^{7, 11}							
Retrieve archival tumour blocks	X ⁵											
Notes												
1. Alternative imaging is permitted after discussion with UCL CTC												
2. Within 30 days of registration												
3. Prior to ADT												
4. All samples can be collected up to 72 hours prior to pre-specified time points												
5. Archival tumour blocks to be shipped as soon as possible after entering patient onto trial												
6. For a subset of patients (~50) and can be collected after consent to the pre-study consent form												
7. Subset of patients who have consented on MRI consent form at UCLH and selected centres												
8. Ideally within 4 weeks ADT and before starting Abi/Doc												
9. Only patients who had the pre-ADT blood sample												
10. Confirming castration and as close as possible to collection of pre Abi/Doc research blood sample												
11. +/- 2 weeks												
12. Recommended to be repeated at 24 weeks and whenever clinically appropriate												
13. Progression samples missed at the time of progression can be collected when new treatment is commenced												

APPENDIX 3: PROTOCOL VERSION HISTORY

Protocol		Amendments:		
Version no.	Date	Amendment no.	Protocol Section (no./title)	Summary of main changes from previous version.
1	14.01.2019	N/A	N/A	N/A