Welcome to the Integrated Research Application System

IRAS Project Filter

The integrated dataset required for your project will be created from the answers you give to the following questions. The system will generate only those questions and sections which (a) apply to your study type and (b) are required by the bodies reviewing your study. Please ensure you answer all the questions before proceeding with your applications.

Please complete the questions in order. If you change the response to a question, please select 'Save' and review all the questions as your change may have affected subsequent questions.

Please enter a short title for this project (maximum 70 characters)
ANIMATE

1. Is your project research?

(●) Yes ( ) No

2. Select one category from the list below:

(●) Clinical trial of an investigational medicinal product
( ) Clinical investigation or other study of a medical device
( ) Combined trial of an investigational medicinal product and an investigational medical device
( ) Other clinical trial to study a novel intervention or randomised clinical trial to compare interventions in clinical practice
( ) Basic science study involving procedures with human participants
( ) Study administering questionnaires/interviews for quantitative analysis, or using mixed quantitative/qualitative methodology
( ) Study involving qualitative methods only
( ) Study limited to working with human tissue samples (or other human biological samples) and data (specific project only)
( ) Study limited to working with data (specific project only)
( ) Research tissue bank
( ) Research database

If your work does not fit any of these categories, select the option below:

( ) Other study

2a. Is this a commercially sponsored Phase 1 or Phase 1/2a trial involving healthy volunteers?

( ) Yes (●) No

2b. Will the study involve the use of any medical device without a CE Mark, or a CE marked device which has been modified or will be used outside its intended purposes?

( ) Yes (●) No

2c. Please answer the following question:

Is this trial subject to advice from the Expert Advisory Group on Clinical Trials and the Commission on Human Medicine prior to authorisation from MHRA? ○ Yes ◉ No

2d. Please answer the following question:

Is this a trial of a gene therapy medicinal product? ○ Yes ◉ No

2e. Please answer the following question(s):

a) Does the study involve the use of any ionising radiation? ◉ Yes ○ No

• Does the study involve exposure to radioactive materials? ◉ Yes ○ No

b) Will you be taking new human tissue samples (or other human biological samples)? ◉ Yes ○ No

c) Will you be using existing human tissue samples (or other human biological samples)? ◉ Yes ○ No

3. In which countries of the UK will the research sites be located?*(Tick all that apply)*

- ☑ England
- ☑ Scotland
- ☑ Wales
- ☑ Northern Ireland

3a. In which country of the UK will the lead NHS R&D office be located:

- ◉ England
- ○ Scotland
- ○ Wales
- ○ Northern Ireland
- ○ This study does not involve the NHS

4. Which applications do you require?

IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is led from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate.

- ☑ IRAS Form
- ☑ Medicines and Healthcare products Regulatory Agency (MHRA) – Medicines
- ☐ Confidentiality Advisory Group (CAG)
- ☐ Her Majesty's Prison and Probation Service (HMPPS)
- ☑ Administration of Radioactive Substances Advisory Committee (ARSAC)

For NHS/HSC R&D Offices in Northern Ireland, Scotland and Wales the CI must create NHS/HSC Site Specific Information forms, for each site, in addition to the study wide forms, and transfer them to the PIs or local collaborators.

For participating NHS organisations in England different arrangements apply for the provision of site specific information. Refer to IRAS Help for more information.

5. Will any research sites in this study be NHS organisations?

◉ Yes ○ No

5a. Are all the research costs and infrastructure costs (funding for the support and facilities needed to carry out research e.g. NHS Support costs) for this study provided by a NIHR Biomedical Research Centre, NIHR Biomedical Research Unit, NIHR Collaboration for Leadership in Health Research and Care (CLAHRC), NIHR Patient Safety Translational Research Centre or a Diagnostic Evidence Co-operative in all study sites?

Please see information button for further details.

○ Yes ◉ No

Please see information button for further details.

5b. Do you wish to make an application for the study to be considered for NIHR Clinical Research Network (CRN) Support and inclusion in the NIHR Clinical Research Network Portfolio?

Please see information button for further details.

◉ Yes ○ No

The NIHR Clinical Research Network provides researchers with the practical support they need to make clinical studies happen in the NHS e.g. by providing access to the people and facilities needed to carry out research "on the ground".

If you select yes to this question, you must complete a NIHR Clinical Research Network (CRN) Portfolio Application Form (PAF) immediately after completing this project filter question and before submitting other applications. Failing to complete the PAF ahead of other applications e.g. HRA Approval, may mean that you will be unable to access NIHR CRN Support for your study.

6. Do you plan to include any participants who are children?

○ Yes ◉ No

7. Do you plan at any stage of the project to undertake intrusive research involving adults lacking capacity to consent for themselves?

○ Yes ◉ No

Answer Yes if you plan to recruit living participants aged 16 or over who lack capacity, or to retain them in the study following loss of capacity. Intrusive research means any research with the living requiring consent in law. This includes use of identifiable tissue samples or personal information, except where application is being made to the Confidentiality Advisory Group to set aside the common law duty of confidentiality in England and Wales. Please consult the guidance notes for further information on the legal frameworks for research involving adults lacking capacity in the UK.

8. Do you plan to include any participants who are prisoners or young offenders in the custody of HM Prison Service or who are offenders supervised by the probation service in England or Wales?

○ Yes ◉ No

9. Is the study or any part of it being undertaken as an educational project?

○ Yes ◉ No

10. Will this research be financially supported by the United States Department of Health and Human Services or any of its divisions, agencies or programs?

○ Yes ◉ No

11. Will identifiable patient data be accessed outside the care team without prior consent at any stage of the project (including identification of potential participants)?

○ Yes ◉ No

SUBSTANTIAL AMENDMENT FORM [1]

NOTIFICATION OF A SUBSTANTIAL AMENDMENT TO A CLINICAL TRIAL ON A MEDICINAL PRODUCT FOR HUMAN USE TO THE COMPETENT AUTHORITIES AND FOR OPINION OF THE ETHICS COMMITTEES IN THE EUROPEAN UNION

For official use:

Date of receiving the request:	Grounds for non acceptance/negative opinion:
	Date:
Date of start of procedure:	Authorisation/ positive opinion:
	Date:
Competent authority registration number of the trial:	Withdrawal of amendment application:
Ethics committee registration number of the trial:	Date:

To be filled in by the applicant:

*This form is to be used both for a request to the Competent Authority for authorisation of a **substantial** amendment and to an Ethics Committee for its opinion on a **substantial** amendment. Please indicate the relevant purpose in Section A.*

A TYPE OF NOTIFICATION

A.1 Member State in which the substantial amendment is being submitted:

United Kingdom

A.2 Notification for authorisation to the competent authority: ☑

A.3 Notification for an opinion to the ethics committee: ☑

(1) Cf. Section 3.7.b of the Detailed guidance on the request to the competent authorities for authorisation of a clinical trial on a medicinal product for human use, the notification of substantial amendments and the declaration of the end of the trial (OJ, C82, 30.3.2010, p.1) hereinafter referred to as 'detailed guidance CT-1'.

B TRIAL IDENTIFICATION *(When the amendment concerns more than one trial, repeat this form as necessary.)*

B.1 Does the substantial amendment concern several trials involving the same IMP? [2] ○ Yes ◉ No

B.2 EudraCT number:	2017-002544-32
B.3 Full title of the trial:	A phase II study of nivolumab monotherapy in patients with relapsed/refractory Hodgkin lymphoma, fit for autologous stem cell transplant, who fail to reach complete metabolic remission after first or second line salvage therapy (ANIMATE)
B.4 Sponsor's protocol code number:	UCL/15/0515
B.4 Sponsor's	

protocol version number:	1.1
B.4 Sponsor's protocol date:	21/02/2018

(2) Cf. Section 3.7. of the detailed guidance CT-1

C IDENTIFICATION OF THE SPONSOR RESPONSIBLE FOR THE REQUEST

C.1 Sponsor

Organisation:	University College London
Contact Given name:	Managing
Contact Family name:	Director
Address:	Joint Research Office, Gower Street
Town/city:	London
Post code:	WC1E 6BT
Telephone:	02034479995
Fax:	02034479937
E-mail:	ctc.sponsor@ucl.ac.uk

C.2 Legal representative [3] of the sponsor in the European Union for the purpose of this trial (if different from the sponsor)

Name of organisation:	
Contact Given name:	
Contact Family name:	
Address:	
Town/city:	
Post code:	
Telephone:	
Fax:	
E-mail:	

(3) As stated in Article 19 of Directive 2001/20/EC.

D APPLICANT IDENTIFICATION, (please tick the appropriate box)

D1. Request for the competent authority

D.1.1 Sponsor	☐
D.1.2 Legal representative of the sponsor	☐
D.1.3 Person or organisation authorised by the sponsor to make the application.	☑

D.1.4 Complete below:

Name of organisation	CRUK & UCL Cancer Trials Centre

Contact Given name	Oliver
Contact Family name	Schofield
Address	90 Tottenham Court Road
Town/city	London
Post code	W1T 4TJ
Telephone	02076799518
Fax	02076799861
E-mail	ctc.animate@ucl.ac.uk

D2. Request for the Ethics Committee

D.2.1 Sponsor ☐

D.2.2 Legal representative of the sponsor ☐

D.2.3 Person or organisation authorised by the sponsor to make the application. ☑

D.2.4 Investigator in charge of the application if applicable[4]:

- Co-ordinating investigator (for multicentre trial): ☐
- Principal investigator (for single centre trial): ☐

D.2.5 Complete below:

Name of organisation	CRUK & UCL Cancer Trials Centre
Given name	Oliver
Family name	Schofield
Address	90 Tottenham Court Road
Town/city	London
Post code	W1T 4TJ
Telephone	02076799518
Fax	02076799861
E-mail	ctc.animate@ucl.ac.uk

(4) According to national legislation.

E SUBSTANTIAL AMENDMENT IDENTIFICATION

E.1 Sponsor's substantial amendment information for the clinical trial concerned:

Code Number: Amendment 2
Version: Protocol v2.0
Date: 2018/04/10

E.2 Type of substantial amendment

E.2.1 Amendment to information in the CT application form ◉ Yes ○ No

E.2.2 Amendment to the protocol ◉ Yes ○ No

E.2.3 Amendment to other documents appended to the initial application form ○ Yes ◉ No

If yes specify:

E.2.4 Amendment to other documents or information: ◉ Yes ○ No

If yes specify:

Patient information sheet v2.0, Patient informed consent form v2.0

E.2.5 This amendment concerns mainly urgent safety measures already implemented[5]: ○ Yes ◉ No

E.2.6 This amendment is to notify a temporary halt of the trial[6]: ○ Yes ◉ No

E.2.7 This amendment is to request the restart of the trial[7]: ○ Yes ◉ No

(5) Cf. Section 3.9. of the detailed guidance CT-1.
(6) Cf. Section 3.10. of the detailed guidance CT-1
(7) Cf. Section 3.10. of the detailed guidance CT-1

E.3 Reasons for the substantial amendment:

E.3.1 Changes in safety or integrity of trial subjects	○ Yes	◉ No
E.3.2 Changes in interpretation of scientific documents/value of the trial	○ Yes	◉ No
E.3.3 Changes in quality of IMP(s)	○ Yes	◉ No
E.3.4 Changes in conduct or management of the trial	◉ Yes	○ No
E.3.5 Change or addition of principal investigator(s), co-ordinating investigator	◉ Yes	○ No
E.3.6 Change/addition of site(s)	◉ Yes	○ No
E.3.7 Other change	○ Yes	◉ No
E.3.7.1 If yes specify:		
E.3.8 Other case	○ Yes	◉ No
E.3.8.1 If yes specify:		

E.4 Information on temporary halt of trial:[8]

E.4.1 Date of temporary halt

E.4.2 Recruitment has been stopped ○ Yes ○ No

E.4.3 Treatment has been stopped ○ Yes ○ No

E.4.4 Number of patients still receiving treatment at time of the temporary halt in the MS concerned by the amendment

E.4.5 Briefly describe:

Justification for a temporary halt of the trial *(free text)*:

The proposed management of patients receiving treatment at time of the halt *(free text)*:

The consequences of the temporary halt for the evaluation of the results and for overall risk benefit assessment of the investigational medicinal product *(free text)*:

(8) Cf. Section 3.10. of the detailed guidance CT-1

F DESCRIPTION OF EACH SUBSTANTIAL AMENDMENT[9]

Please use this section to detail each substantial amendment which is being notified. If you are notifying more than one substantial amendment, please use the "Add Amendment" button as required

Substantial amendment 1

Previous and new wording:*(tracked)*

ANIMATE Protocol v1.1 21.02.2018 replaced by ANIMATE Protocol v2.0 10.04.2018
ANIMATE PIS v1.0 04.01.2018 replaced by ANIMATE PIS v2.0 10.04.2018
ANIMATE ICF v1.0 04.01.2018 replaced by ANIMATE ICF v2.0 10.04.2018

New wording:

Please see tracked changes versions attached.

Comments/ explanation/ reasons for substantial amendment:

The main changes are as follows:

Trial protocol
- Inclusion criteria at study entry amended so that known history of Hepatitis B or C infection is now known active infection. Exclusion criteria amended to state exceptions apply to patients with a history of hepatitis B infection, with a detailed table added at section 6.2.5. This change was made following discussion with the drug manufacturer, who confirmed that nivolumab is not contraindicated in patients who are hepatitis B positive by virtue of vaccination or past infection where the virus has been cleared.
- Chloride test no longer mandated as it is not standard of care in this patient population
- Creatinine clearance added to eligibility for nivolumab treatment, previously omitted in error.
- Testing requirements for hepatitis B and C serology clarified in line with section 6.2.5
- Thyroid function testing requirements updated in line with standard practice. Free T3 is no longer mandated, but will be performed if TSH or Free T4 are abnormal. Thyroid function testing schedule clarified.
- References to UCL Cancer Institute have been removed from throughout the document and replaced with the Weatherall Institute of Molecular Medicine, Oxford who will now be performing analysis on peripheral blood samples.
- Minor revisions to analysis plans for FFPE and peripheral blood samples, following change of central laboratory from UCL Cancer Institute to Weatherall Institute of Molecular Medicine.
- Requirement for original CRFs to be sent to UCL CTC removed in line with current data management SOP.
- Exemptions from SAE reporting/ urgent events amended to capture additional post-allogeneic transplant events that are of interest to the TMG. Non-infectious febrile episodes requiring steroid therapy should now be reported even if the full criteria for steroid-responsive febrile syndrome are not met. Sinusoidal obstruction syndrome/veno-occlusive disease will also be reported as an urgent event.
- In recognition of the fact that peripheral publications arising from translational research and PET review may be produced during the lifetime of the trial, the trial publication policy has been amended to clarify that central laboratories and the PET core laboratory may not publish any data pertaining to ANIMATE patients without prior written permission from the TMG.
- References to CTCAE v4 have been replaced by the recently released v5 throughout the document, this will be used for the assessment of adverse events for the trial. Definitions listed in management of adverse events section have been updated for diarrhoea, colitis, pneumonitis, rash and creatinine in line with CTCAE v5.
- Addition of a new TMG member (representing the Weatherall Institute laboratory)
- Minor clarifications and corrections of typographical errors throughout the document.

Patient Information Sheet
- References to UCL Cancer Institute have been removed from throughout the document and replaced with the Weatherall Institute of Molecular Medicine, Oxford who will now be performing analysis on peripheral blood samples.
- Clarification added that not all hospitals have PET scanners that can perform trial scans added, to ensure patients are aware that they may need to go to a different hospital to have their PET-CT scans. Their study doctor will tell them which hospital would be doing the trial scans.
- Follow-up requirement clarified so that UCL CTC will now collect information on disease status for at least 3 years (previous wording implied follow up was for a minimum of 4 years in error).
- Clarification added that trial data will still be collected if a patient moves to a different hospital for treatment and/or

follow-up unless the patient does not give permission to do so. This is standard practice for UCL CTC trials but was not explicitly stated in the previous version of the PIS. Given patients may move to different hospitals for transplant etc, clarification was necessary.
- 'HMDS, Leeds' amended to 'Haematological Malignancy Diagnostic Service', Leeds for clarity.
- Clarification added that PET-CT scans will be stored securely by the central reviewer on on university computers and on a secure website with password protection and encryption, and information will be stored securely at St Thomas' Hospital.
- Additionally, it now states that scans may be used for research aimed at improving services and refining of techniques for PET scan review, in recognition of the fact that the PET core laboratory is actively engaged in research into PET review methodology.
- Minor administrative amendments and corrections of typographical errors.

Informed Consent Form
- References to UCL Cancer Institute have been removed from throughout the document and replaced with the Weatherall Institute of Molecular Medicine, Oxford who will now be performing analysis on peripheral blood samples.
- The form now includes acknowledgement that scans may be used for research aimed at improving services and refining of techniques for PET scan review.

Substantial amendment 2

Previous and new wording:*(tracked)*

Addition of new sites

New wording:

3 new sites have been added for the trial:
- Nottingham University hospitals NHS Trust (PI Dr Andrew McMillan)
- University Hospital Southampton NHS Foundation Trust (PI Prof Peter Johnson)
- Royal Marsden Hospital (PI Prof David Cunningham)

Comments/ explanation/ reasons for substantial amendment:

N/A

Substantial amendment 3

Previous and new wording:*(tracked)*

Change to CTA - change of central laboratory

New wording:

UCL Cancer Institute laboratory removed from CTA. Weatherall Institute for Molecular Medicine, Oxford added.

Comments/ explanation/ reasons for substantial amendment:

Administrative amendment.

(9)Cf. Section 3.7.c. of the detailed guidance CT-1. The sponsor may submit this documentation on a separate sheet.

G CHANGE OF CLINICAL TRIAL SITE(S)/INVESTIGATOR(S) IN THE MEMBER STATE CONCERNED BY THIS AMENDMENT

Type of change:

G.1.1 Addition of a new site

G.1.1.1 Principal investigator (provide details below)

Given name Andrew
Middle name(if

applicable)	
Family name	McMillan
Qualification (MD...)	FRCPath, FRCP(UK), PhD, MRCPath, MRCP(UK), MA
Professional address	Nottingham University Hospitals NHS Trust, The Centre for Clinical Haematology, Hucknall Road, NG5 1
Given name	Peter
Middle name(if applicable)	
Family name	Johnson
Qualification (MD...)	FRCP(UK), MRCP(UK), MD, MA, M.B.B.Chir., BA
Professional address	University Hospital Southampton NHS Foundation Trust, Department of Haematology, Southampton General
Given name	David
Middle name(if applicable)	
Family name	Cunningham
Qualification (MD...)	FRCP(UK), MD, FMedSci
Professional address	Royal Marsden Hospital, Downs Road, Sutton, SM2 5PT

G.1.2 Removal of an existing site

G.1.2.1 Principal investigator (provide details below)

Given name	
Middle name(if applicable)	
Family name	
Qualification (MD...)	
Professional address	

G.1.3 Change of co-ordinating investigator (provide details below of the new coordinating investigator)

Given name	
Middle name(if applicable)	
Family name	
Qualification (MD...)	
Professional address	

G.1.3.6 Indicate the name of the previous co-ordinating investigator:

G.1.4 Change of principal investigator at an existing site (provide details below of the new principal investigator)

Given name
Middle name(if applicable)
Family name
Qualification (MD...)
Professional address

G.1.4.6 Indicate the name of the previous principal investigator:

H CHANGE OF INSTRUCTIONS TO CA FOR FEEDBACK TO SPONSOR

H.1 Change of e-mail contact for feedback on application*

H.2 Change to request to receive an .xml copy of CTA data ○ Yes ◉ No

H.2.1 Do you want a .xml file copy of the CTA form data saved on EudraCT? ○ Yes ◉ No

H.2.1.1 If yes provide the e-mail address(es) to which it should be sent (up to 5 addresses):

H.2.2 Do you want to receive this via password protected link(s)[10]? ○ Yes ◉ No

If you answer no to question H.2.2 the .xml file will be transmitted by less secure e-mail link(s)

H.2.3 Do you want to stop messages to an email for which they were previously requested? ○ Yes ◉ No

H.2.3.1 If yes provide the e-mail address(es) to which feedback should no longer be sent:

(*This will only come into effect from the time at which the request is processed in EudraCT).

(10) This requires a EudraLink account. (See eudract.emea.europa.eu for details)

I LIST OF THE DOCUMENTS APPENDED TO THE NOTIFICATION FORM (cf. Section 3.7 of detailed guidance CT-1)

Please submit only relevant documents and/or when applicable make clear references to the ones already submitted. Make clear references to any changes of separate pages and submit old and new texts. Tick the appropriate box(es).

I.1 Cover letter ☑

I.2 Extract from the amended document in accordance with Section 3.7.c. of detailed guidance CT-1 (if not contained in Part F of this form) ☐

I.3 Entire new version of the document[11] ☑

I.4 Supporting information ☐

I.5 Revised .xml file and copy of initial application form with amended data highlighted ☑

I.6 Comments on any novel aspect of the amendment if any :

(11) Cf. Section 3.7.c. of the detailed guidance CT-1

J SIGNATURE OF THE APPLICANT IN THE MEMBER STATE

Please submit only relevant documents and/or when applicable make clear references to the ones already submitted. Make clear references to any changes of separate pages and submit old and new texts. Tick the appropriate box(es).

J.1 I hereby confirm that/ confirm on behalf of the sponsor that (delete which is not applicable)

- The above information given on this request is correct;
- The trial will be conducted according to the protocol, national regulation and the principles of good clinical practice; and
- It is reasonable for the proposed amendment to be undertaken.

J.2 APPLICANT OF THE REQUEST FOR THE COMPETENT AUTHORITY*(as stated in section D.1):* ☐

J.2.1 Signature [12]: .....

J.2.2 Print name:

J.2.3 Date:

This section was signed electronically by Mr Oliver Schofield on 13/04/2018 10:52.

Job Title/Post: Trial Coordinator

Organisation: CRUK & UCL Cancer Trials Centre

Email: ctc.animate@ucl.ac.uk

J.3 APPLICANT OF THE REQUEST FOR THE ETHICS COMMITTEE *(as stated in section D.2)*: ☐

J.3.1 Signature [13]: .....

J.3.2 Print name:

J.3.3 Date:

This section was signed electronically by Mr Oliver Schofield on 13/04/2018 10:53.

Job Title/Post: Trial Coordinator

Organisation: CRUK & UCL Cancer Trials Centre

Email: ctc.animate@ucl.ac.uk

(12) On an application to the Competent Authority only, the applicant to the Competent Authority needs to sign.
(13) On an application to the Ethics Committee only, the applicant to the Ethics Committee needs to sign.